

Image credit: NASA/JPL-  
Caltech

# A careful search for X-ray detected intermediate-mass black holes



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10th FER0 meeting, 2022, March 30<sup>th</sup>

# Outline

- 1) Context : how to search for IMBH in X-rays
- 2) Classification of X-ray sources
- 3) Results on ULX, HLX, TDE
- 4) Expectations for dwarf galaxies



# Applications of an X-ray source classification

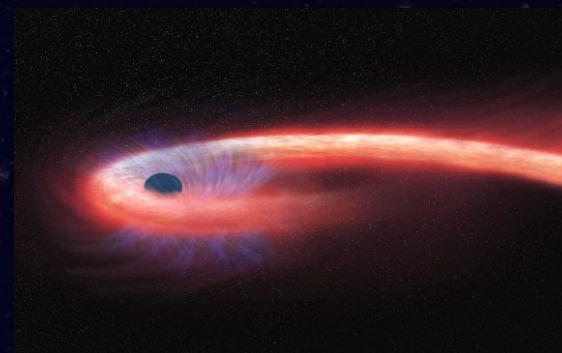
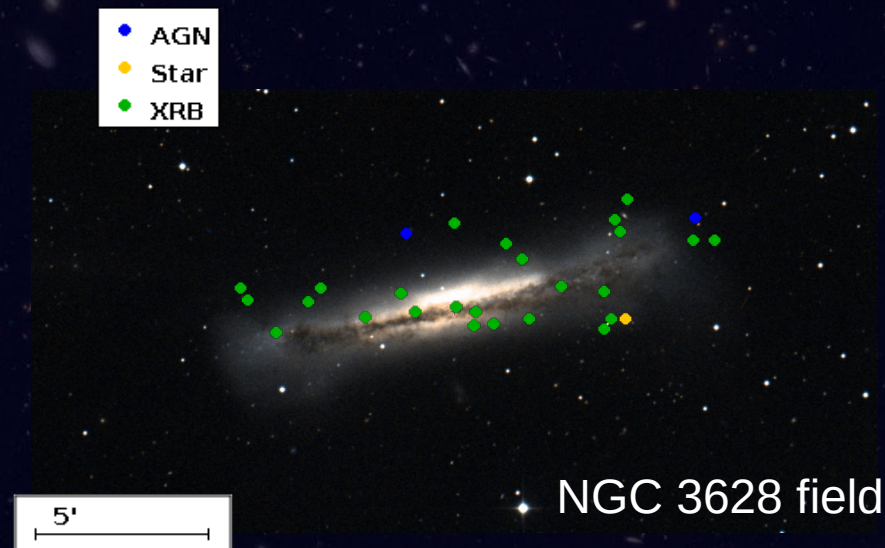
X-ray catalogues: about  $10^6$  sources, mostly *serendipitous*  
⇒ automatic classification

Population studies, e.g. type II AGN,  
flaring stars, ULX...

Find exotic objects (TDE, CLAGN...)

Separate galactic / extragalactic  
sources e.g. for photo-z computation

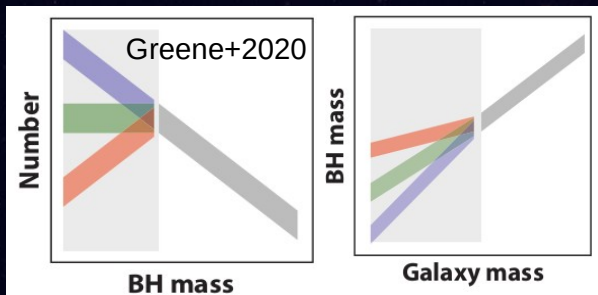
Identify relevant alerts for transient  
astronomy, e.g. multimessenger events



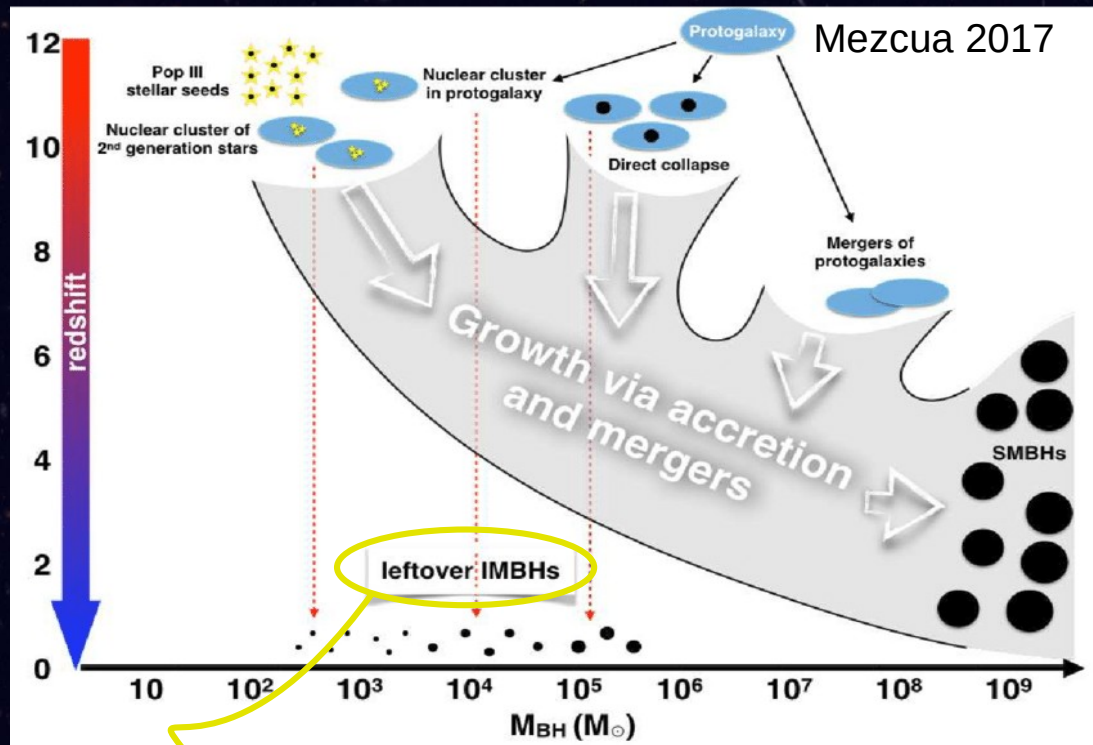
# Intermediate-mass black holes (IMBH)

- $10^2 < M < 10^5 M_{\odot}$
- Uncertain formation

Remnants of pop III ?  
Runaway merger in GC ?  
Direct collapse ?



- Uncertain evolution into SMBH



Hard to find !

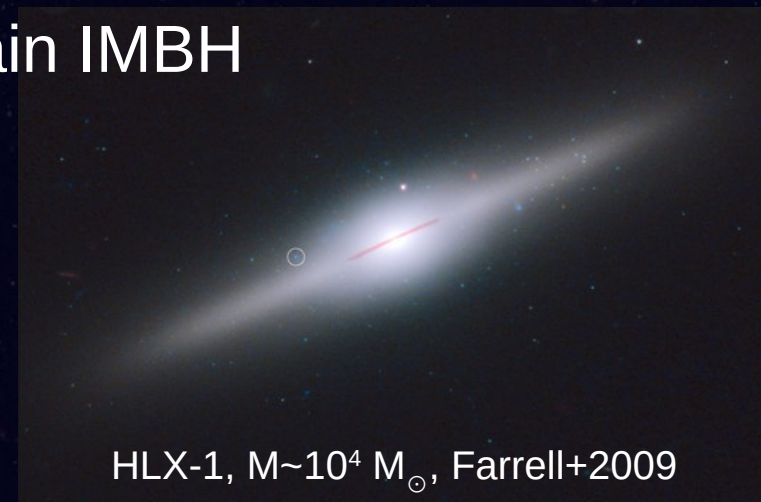
- Wait for efficient accretion (TDE)
- Find hidden BH in dwarf
- but also...

# (Some) Ultraluminous X-ray sources contain IMBH

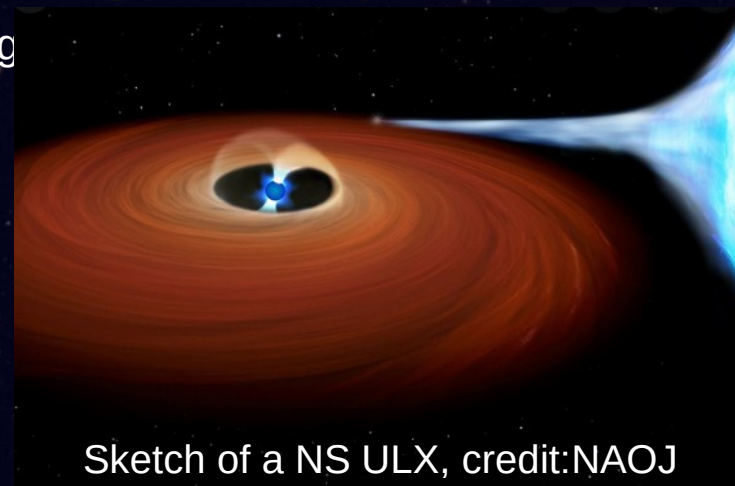
ULX :  $L_X > 10^{39}$  erg/s, outside the nucleus

$\Rightarrow L_X > L_{\text{Edd}}$  of a  $8M_{\text{sun}}$  black hole

- Extrapolation of XRB (in « ultraluminous state »)
- Some are **neutron star** accretors, other are **super-Eddington BH**
- We expect **IMBH** in the most luminous (HLX,  $L_X > 10^{41}$  erg/s)
- 20% are background / foreground contaminants
- **Use source classification** to remove them



HLX-1,  $M \sim 10^4 M_{\odot}$ , Farrell+2009



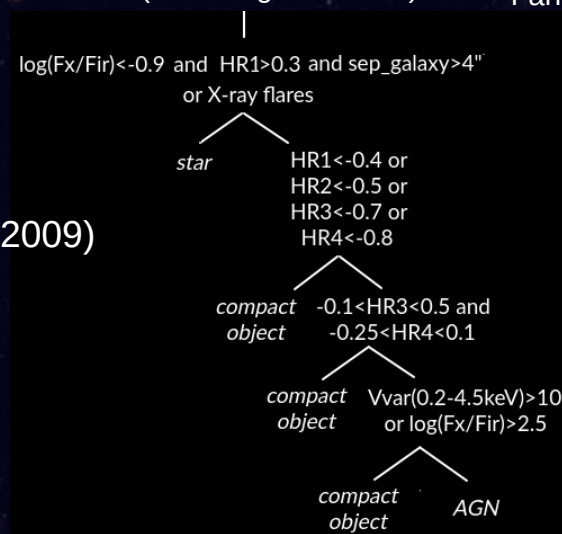
Sketch of a NS ULX, credit:NAOJ



# Previous X-ray classification studies

- Few are probabilistic  
⇒ Use a Bayesian method
- Almost all are on small samples (but see Pineau+2009)  
⇒ Use the full X-ray catalogues
- Trade-off efficiency - interpretability  
⇒ Develop visualisation tools to improve
- Suboptimal catalogue enhancement  
⇒ Supplement it with VO tools, careful correlations
- Samples of known XRB, CV, TDE... are small  
⇒ Use updated databases to enlarge them

Lin+2012 (4330 bright sources)



Farrell+2015 (3696 variable sources)

Actual class	AGN	CV	STAR	XRB
	89	2	3	4
	4	68	5	11
	1	0	565	5
Classified class	3	6	8	64
	AGN	CV	STAR	XRB

Arnason+2020  
(943 M31 sources)

		Actual Class				Total
		AGN	SNR	fgStar	XRB	
Predicted Class	AGN	5	0	2	2	9
	SNR	0	3	1	0	4
	fgStar	3	2	5	0	10
	XRB	6	1	4	15	26
	Total	14	6	12	17	49

# Step 1: take large catalogues and enhance them

X-ray	4XMM-DR11	600k
	Chandra CSC2	315k
	Swift 2SXPS	200k
Optical	Gaia EDR3	1.8G
	PanSTARRS	1.9G
Infrared	2MASS	471M
	AllWISE	747M
Identification	AGN in the MIR (Secrest+2015)	1.3M
	Stars : HIPPARCOS	1.2M
	XRB : miscellaneous...	~1500 X-ray sources
	CV : miscellaneous...	~500 X-ray sources
Galaxies	GLADE (Dalya+2016)	1.9M

High sky density  
 ⇒ multiple counterparts  
 ⇒ bayesian treatment  
 (nway, Salvato+2017)

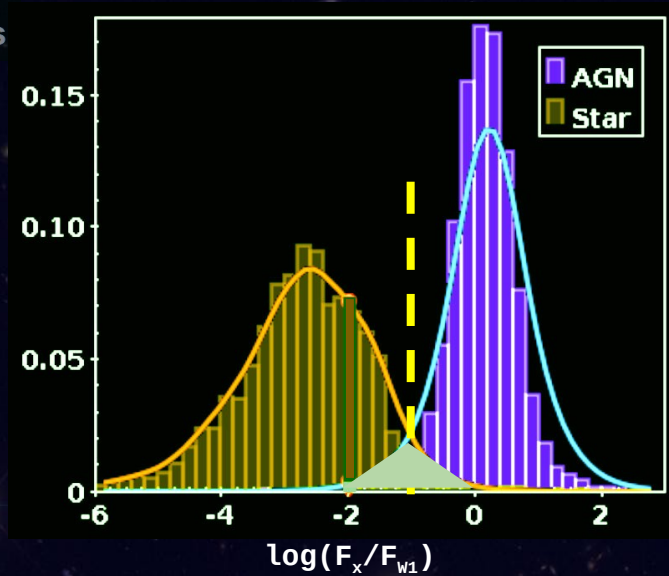
Highly complete galaxy catalogue  
 >1M galaxies at D<500Mpc

⇒ *Enable data-mining + add meaningful observables*

$$F_X/F_{OPT} \quad F_X/F_{IR} \quad L_X \quad F_{MAX}/F_{MIN} \quad \dots$$

# Step 2: use criteria

Fraction  
of sources



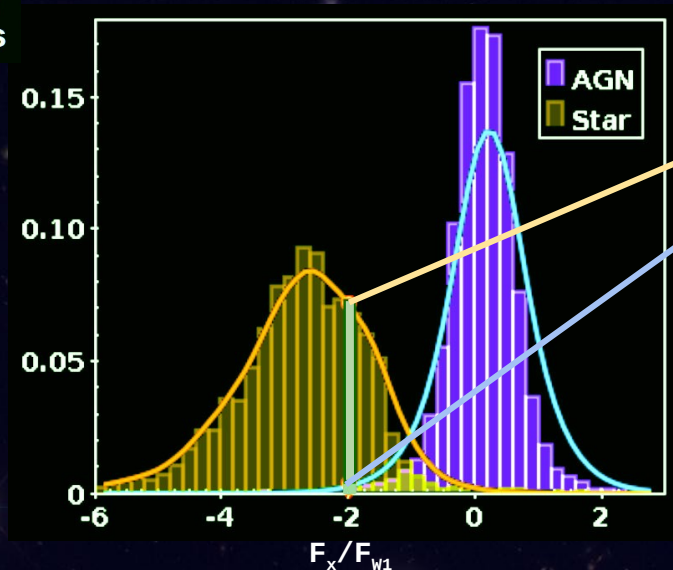
Possible criterion:  
 $\log(F_x/F_{w1}) < -1 \Rightarrow \text{star}$   
else  $\Rightarrow \text{AGN}$

... but overlap



# Step 2: use criteria probabilities

Fraction of sources



If  $F_x/F_{w1} = 0.01$

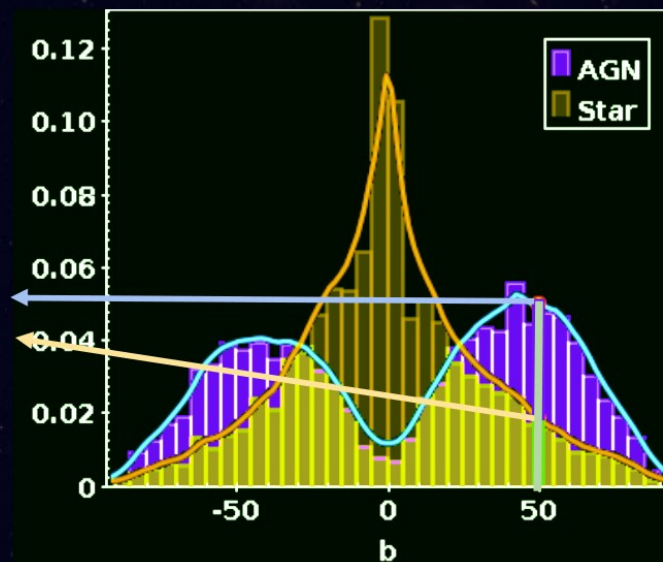
$\mathcal{L}_{b, \text{Star}} = 93\%$

$\mathcal{L}_{b, \text{AGN}} = 7\%$

If  $b = 50^\circ$

$\mathcal{L}_{b, \text{AGN}} = 67\%$

$\mathcal{L}_{b, \text{Star}} = 33\%$

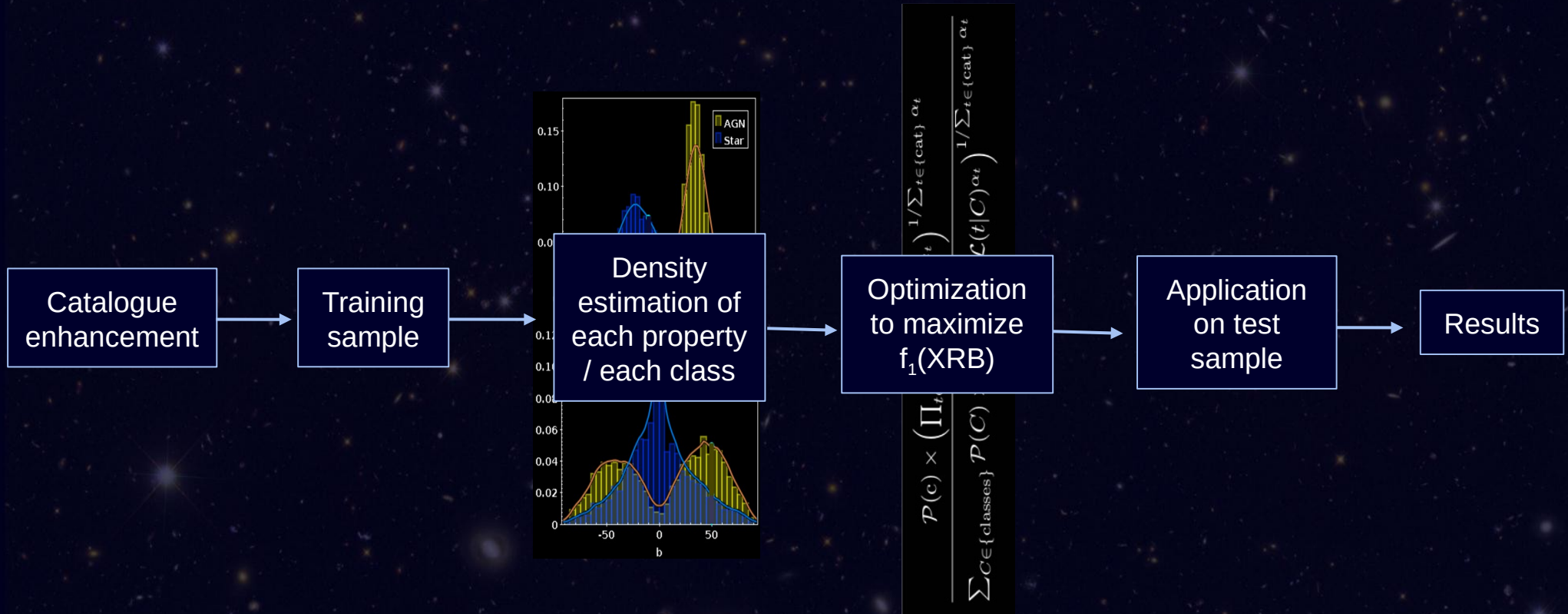


$$\mathbb{P}(\text{AGN}|D) = \frac{\mathcal{P}(\text{AGN})\mathcal{L}(\text{AGN}|D)}{\mathcal{P}(\text{AGN})\mathcal{L}(\text{AGN}|D) + \mathcal{P}(\text{Star})\mathcal{L}(\text{Star}|D)} = 31\% \text{ here}$$

Combine the 17 features  $\Rightarrow$  **Naive Bayes classification**

(with priors  $\mathcal{P}(\text{AGN})=0.75$ ,  $\mathcal{P}(\text{Star})=0.25$ )

# Final structure



CLAssification of X-ray sources using Bayesian Optimized Inference

CLAXBOI public code, Tranin et al. A&A 2022

# Results

## Application to the whole catalogue

on 4XMM training sample

	AGN	Star	XRB	CV
→ AGN	18373	25	46	149
→ Star	15	6197	10	12
→ XRB	80	12	479	10
→ CV	4	0	8	81
recall (%)	99.5	99.4	88.2	32.1
precision (%)	98.9	97.2	93.7	84.6
$f_1$ -score	0.992	0.983	0.909	0.465

on 2SXPS

Truth →	AGN	Star	XRB	CV	Total cl.
→AGN	19515	82	25	191	19813
→Star	44	4628	3	27	4702
→XRB	140	18	326	17	501
→CV	9	9	2	124	144
Total	19708	4737	356	359	Average
recall (%)	99.0	97.7	91.6	34.5	80.7
precision (%)	97.0	98.6	90.7	85.5	92.3

Random Forest on 2SXPS

Truth →	AGN	Star	XRB	CV	Total cl.
→AGN	5889	7	20	39	5955
→Star	6	1404	1	3	1414
→XRB	9	5	83	5	102
→CV	7	1	1	68	77
Total	5911	1417	105	115	Average
recall (%)	99.6	99.1	79.0	59.1	84.2
precision (%)	96.8	99.2	95.2	87.9	95.2

Tranin et al. A&A 2022

⇒ better results on XRB + better interpretability



# Results

## Application to nearby galaxies

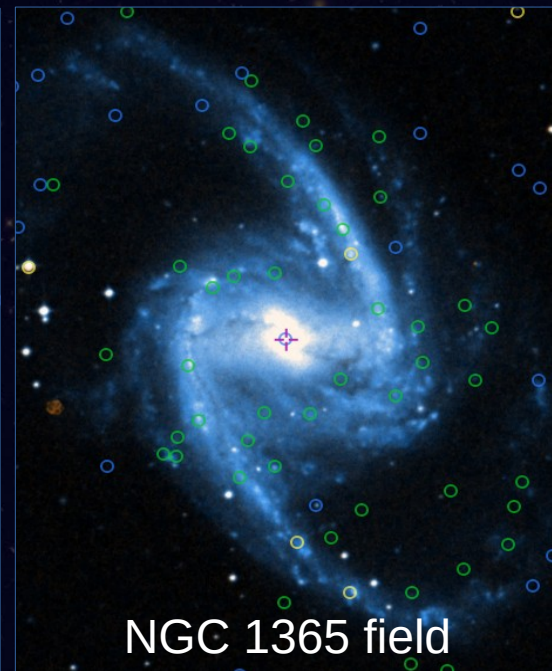
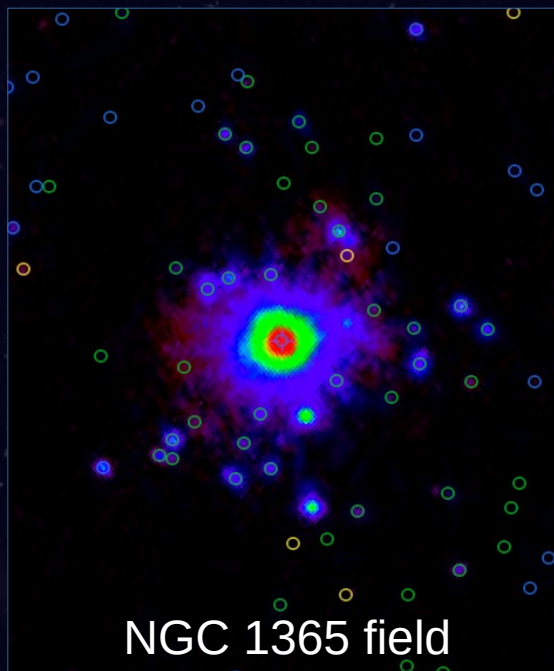
on 4XMM x GLADE (Dalya+2016)

	AGN	Soft source	XRB
→ AGN	1578	29	22
→ Soft source	15	54	19
→ XRB	65	23	358
<i>recall (%)</i>	95.2	50.9	89.7
<i>precision (%)</i>	95.8	68.9	80.4
<i>f<sub>1</sub>-score</i>	0.955	0.585	0.848

Tranin et al. to be sub

Goal:

Identify XRB and ULX in nearby galaxies





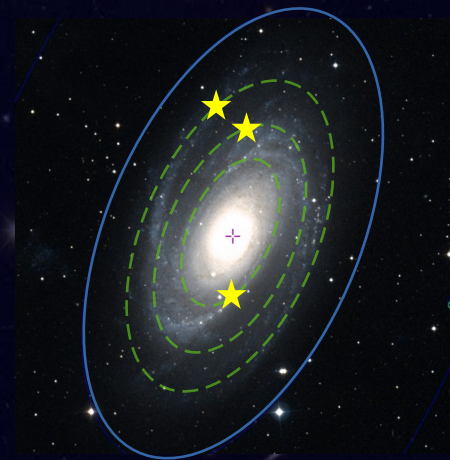
**Results: ULX, HLX, TDE**

# Identifying XRB and ULX in nearby galaxies

Output of the classification:

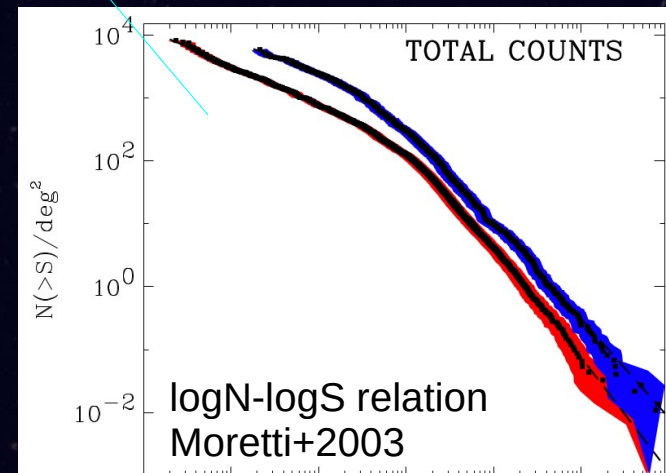
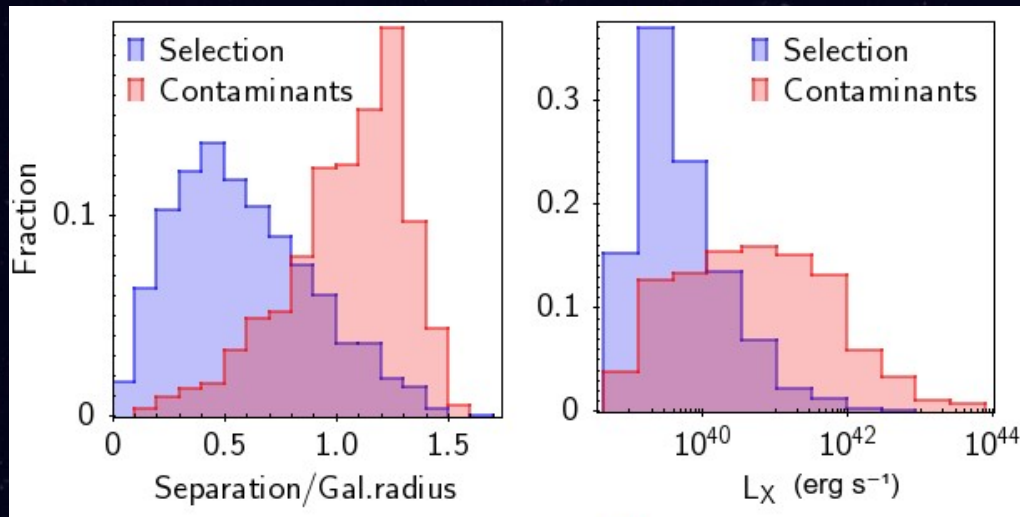
$$P_{\text{XRB}} = 1 - P_{\text{soft}} - P_{\text{AGN}} \Rightarrow \text{select sources with } P_{\text{XRB}} > f_{\text{cont}}$$

$f_{\text{cont}}$



Example of contaminant : [4XMM J125306.0-091315](#)

Example of candidate : [4XMM J191127.7-570331](#)



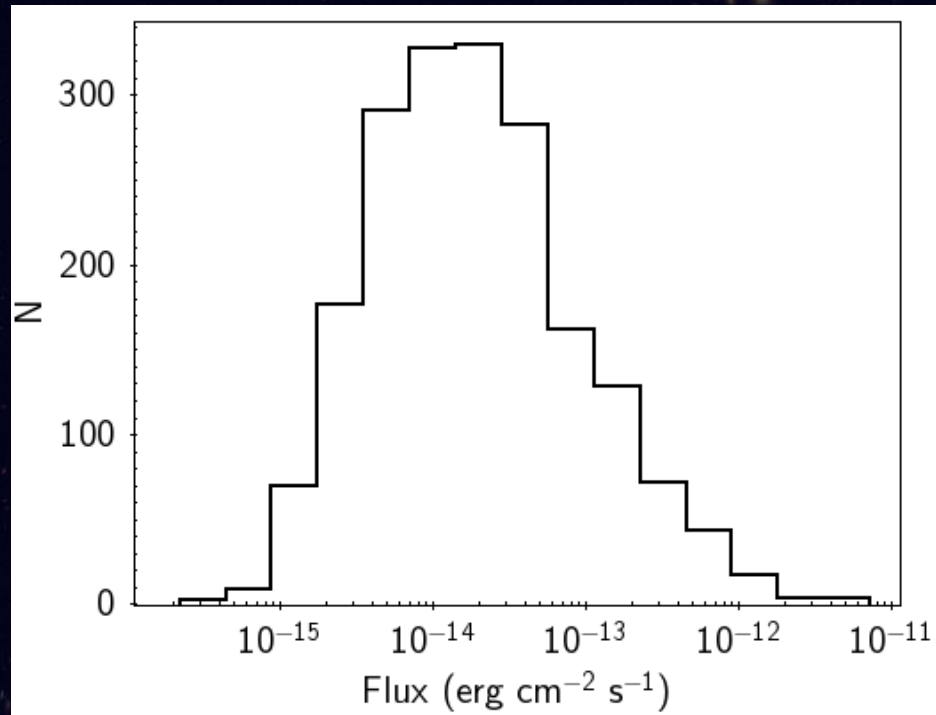
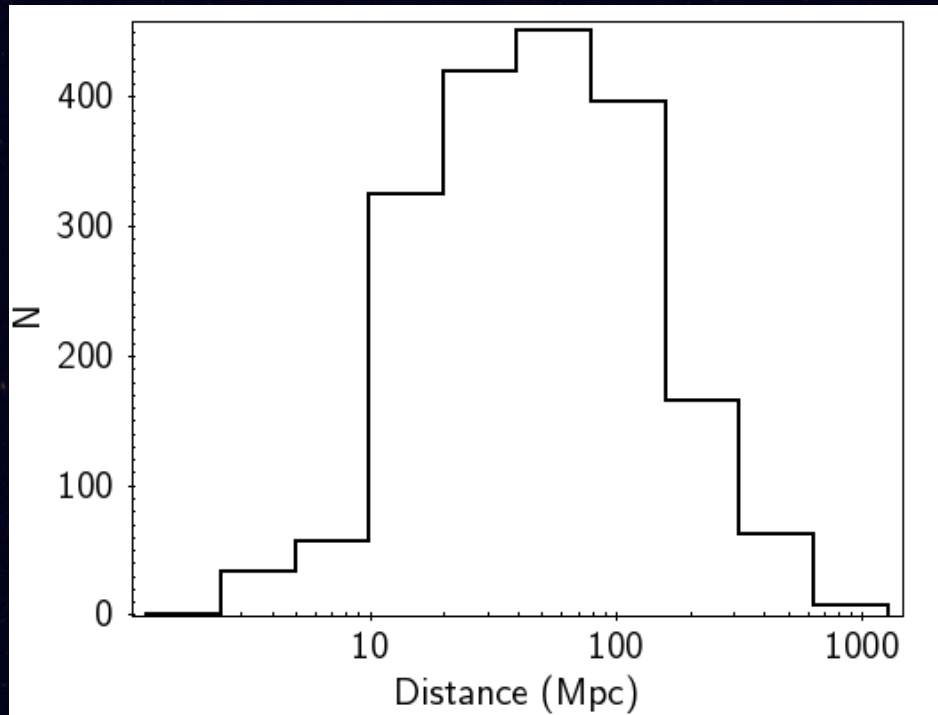
Tranin et al. (to be sub)

$\Rightarrow$  clean sample: **3600 XRB**  
**1900 ULX**



# ULX sample

⇒ clean sample: **1900 ULX**

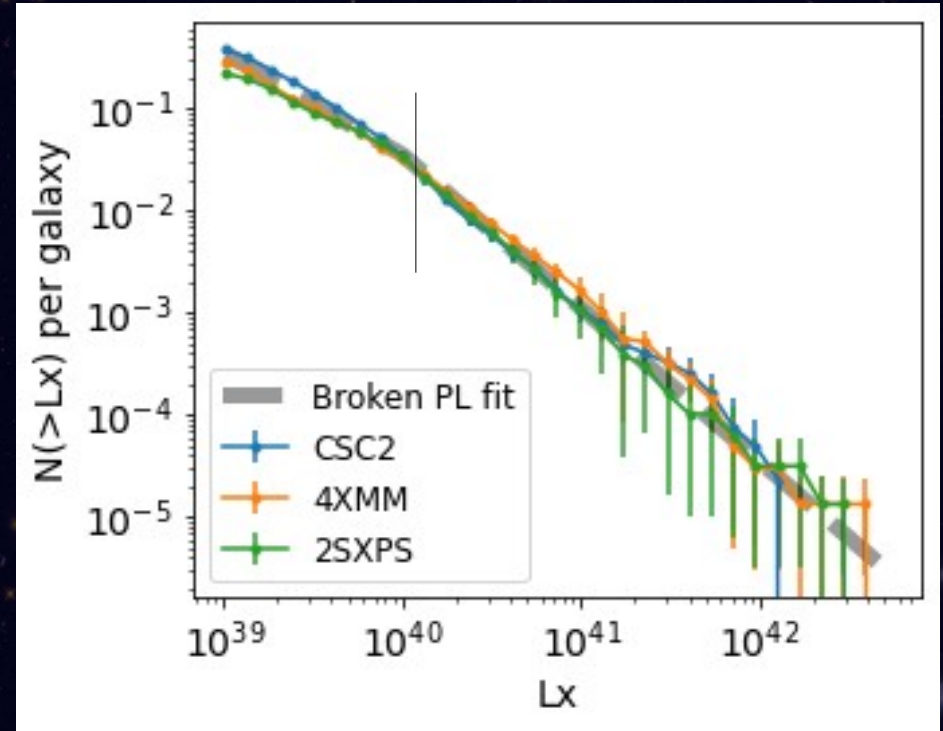


Tranin et al. (to be sub)

# X-ray luminosity function

Significant break at  $10^{40} \text{ erg s}^{-1}$

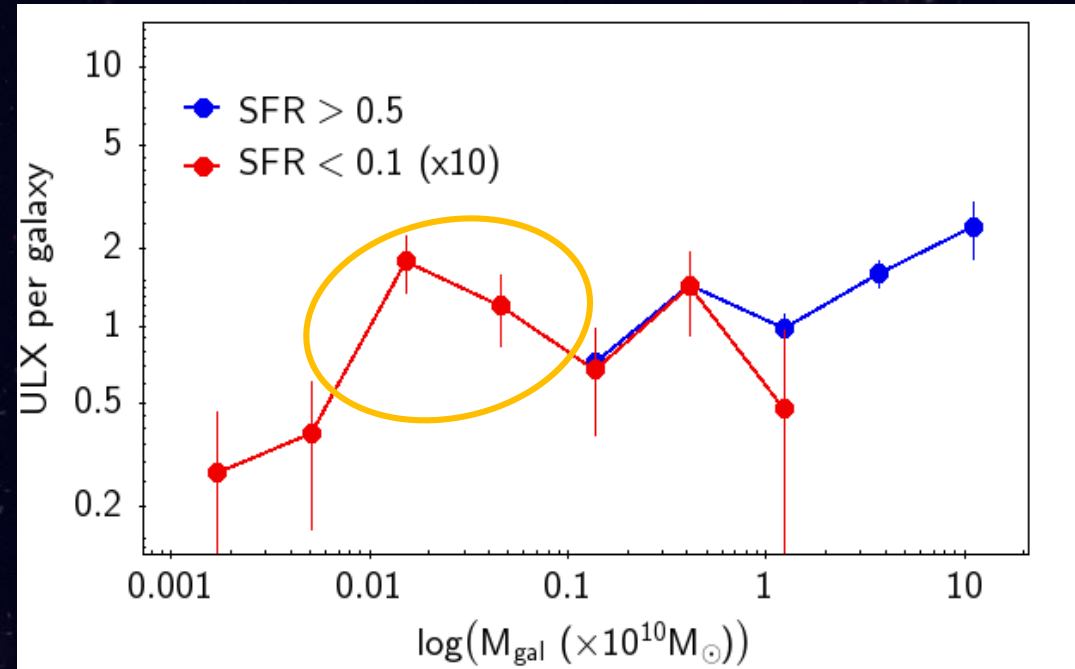
- Already in Swartz+2004, Mineo+2012 but at low significance. Ruled out in Wang+2016
- Kaaret+2017 review:  
*"Sources with luminosities above the break could represent a new class of objects"*
- Not in population synthesis models (but see Lehmer+2020)
- Some ULX models cut at  $\sim 10^{40} \text{ erg s}^{-1}$  (e.g. Krticka+2022)



# ULX in dwarf galaxies – more IMBH ?

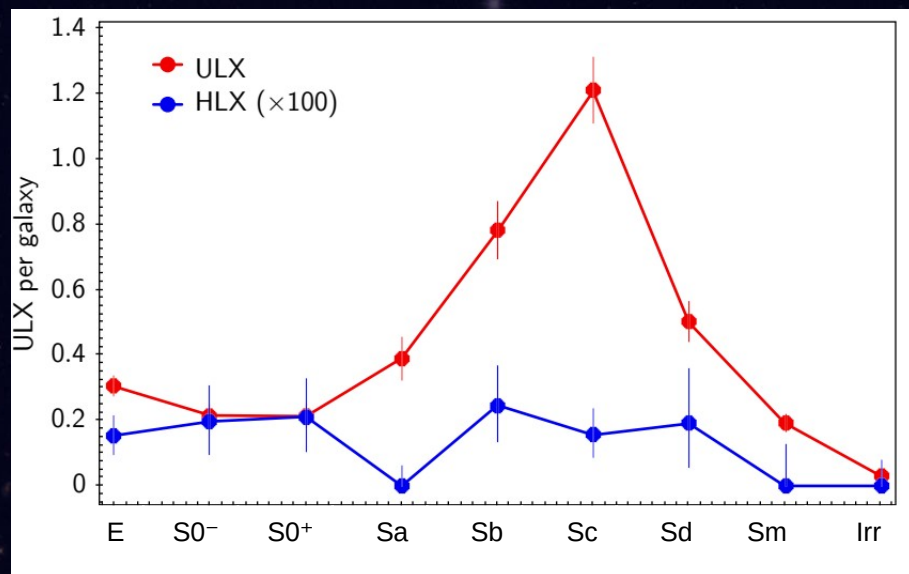
ULX are in excess in dwarf galaxies

- Environment where IMBH are expected (e.g. Chilingarian+2018)
- They can wander in the galaxy (Bellovary+2019, Reines+2020)

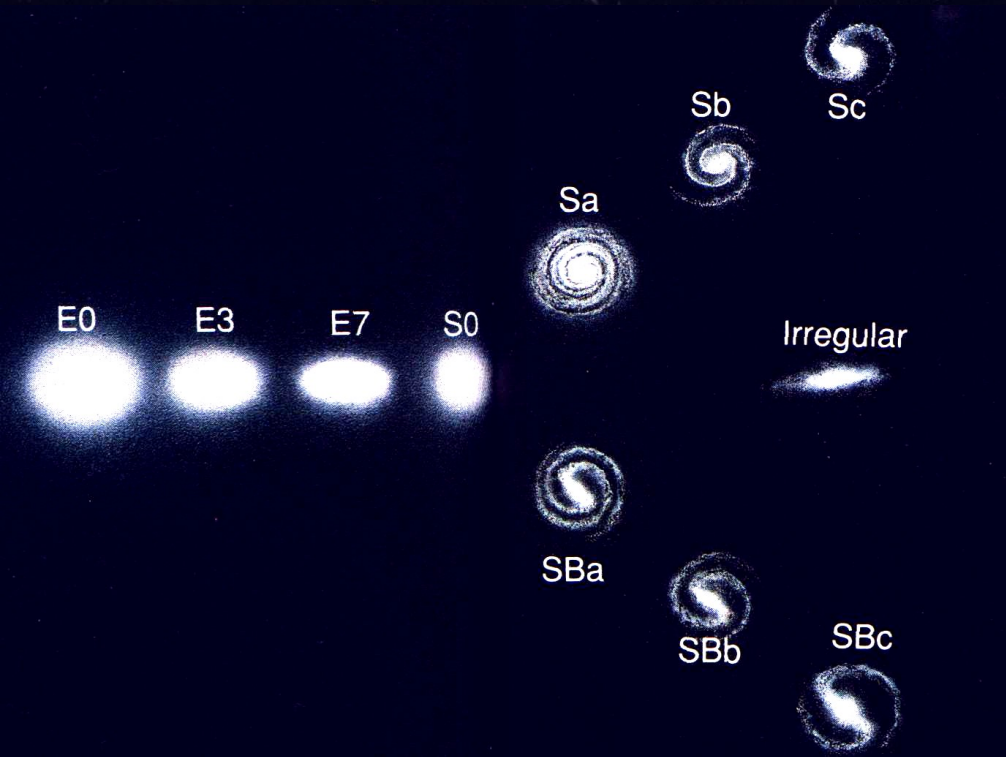




# Environment of HLX



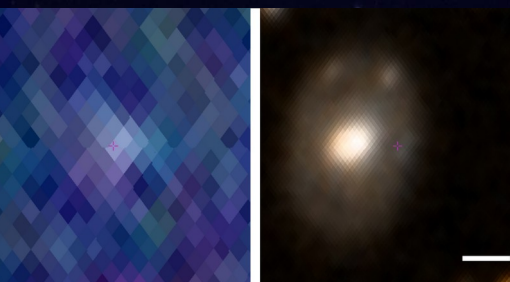
Unlike ULX, HLX reside both in spiral and elliptical galaxies



(clean sample: 45 HLX)

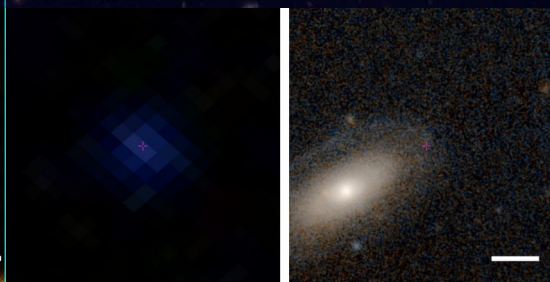
# Diversity of HLX

## Unstudied HLX from our sample



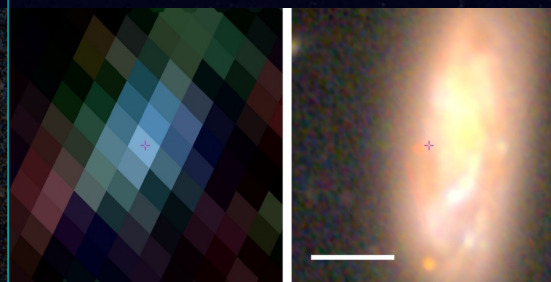
In ring galaxy, where  
source confusion is likely

⇒ spurious ?



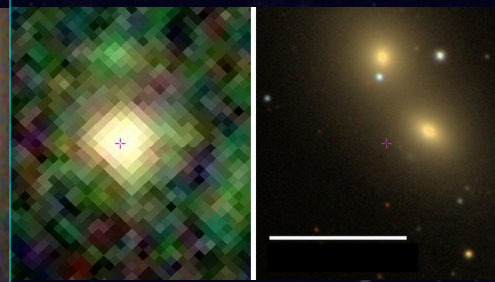
Absorbed, close to edge

⇒ DG before merger ?  
background AGN ?



In starforming galaxy,  
softer

⇒ extreme ULX ?  
DG after merger ?



Soft/high, transient, in  
early-type galaxies

⇒ TDE ?

⇒ careful study + manual inspection  
Tranin et al. (to be sub)



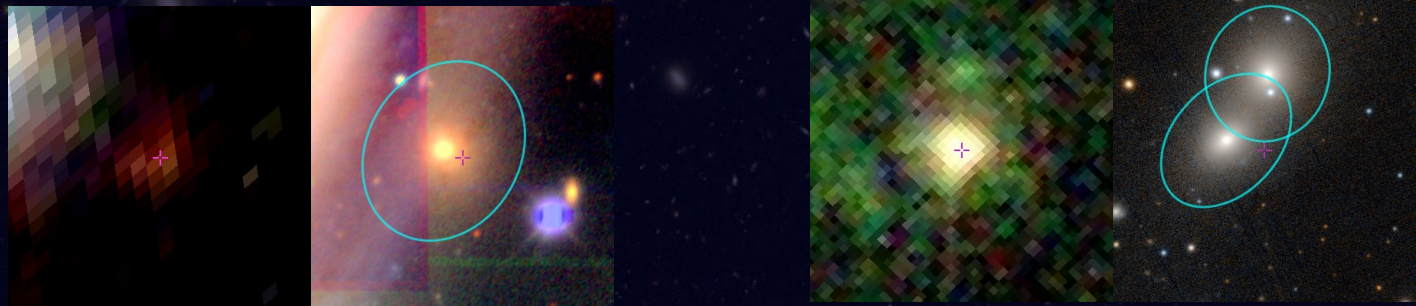
# Tidal disruption event candidates

- Validation of our classification (outliers)
- XMM sample:

*Known candidates*



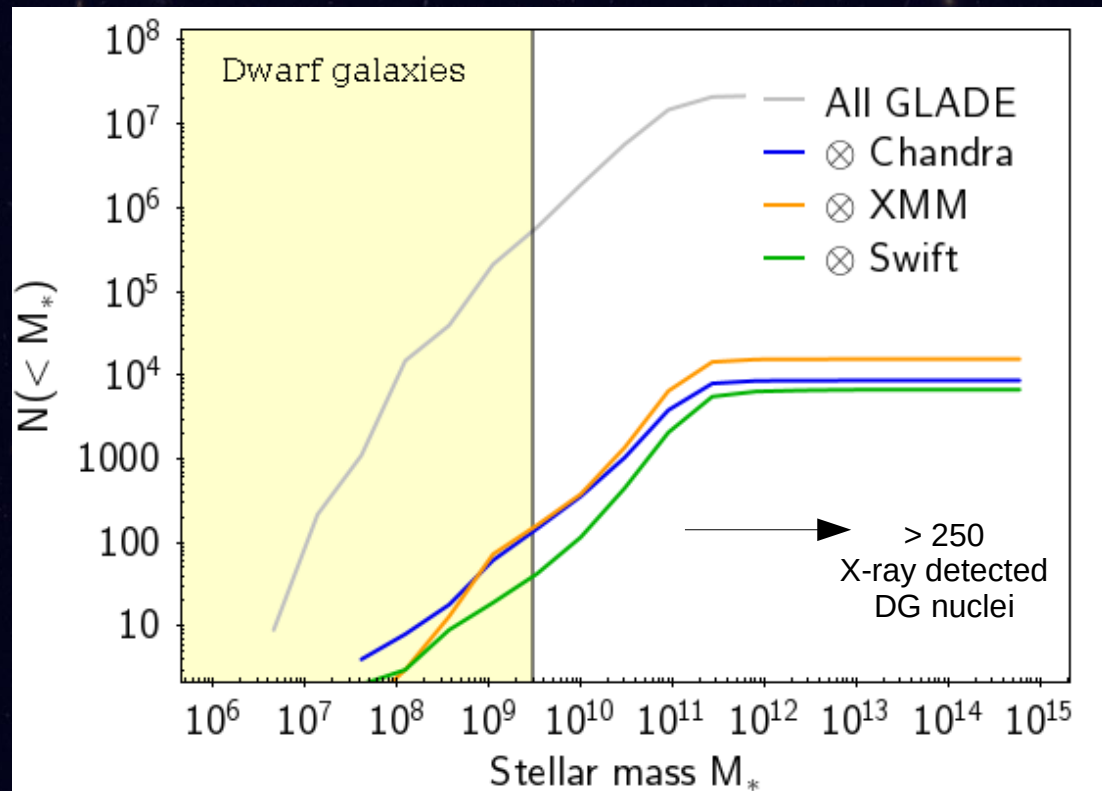
*New candidates*





# **Prospect: IMBH in dwarf galaxies**

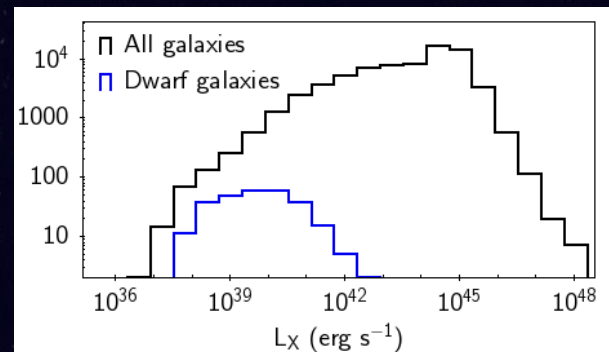
# Dwarf galaxies from GLADE



Intermediate-mass black holes in dwarf galaxies out to redshift  $\sim 2.4$  in the *Chandra* COSMOS-Legacy Survey

Mezcua+2018

- Occupation fraction is capital to constrain SMBH formation scenarios
- Wandering black holes are seen in DG (Bellovary+2019, Reines+2020)
- Thanks to GLADE (its completeness):
  - > 250 X-ray sources in nuclei
  - > 350 offnuclear sources
- Further work is needed to validate these IMBH candidates



# Take-home messages

- CLAXBOI is an efficient probabilistic source classification, easy to interpret and adapted to a **wide range of applications**
- It enabled to retrieve a clean sample of **3600 XRB and 1900 ULX**
- An excess of ULX in dwarf galaxies, and a large fraction of HLX, suggest the **presence of IMBH accretors**
- A lot remains to be done to understand ULX as a population, notably given the **high-luminosity break in the XLF**



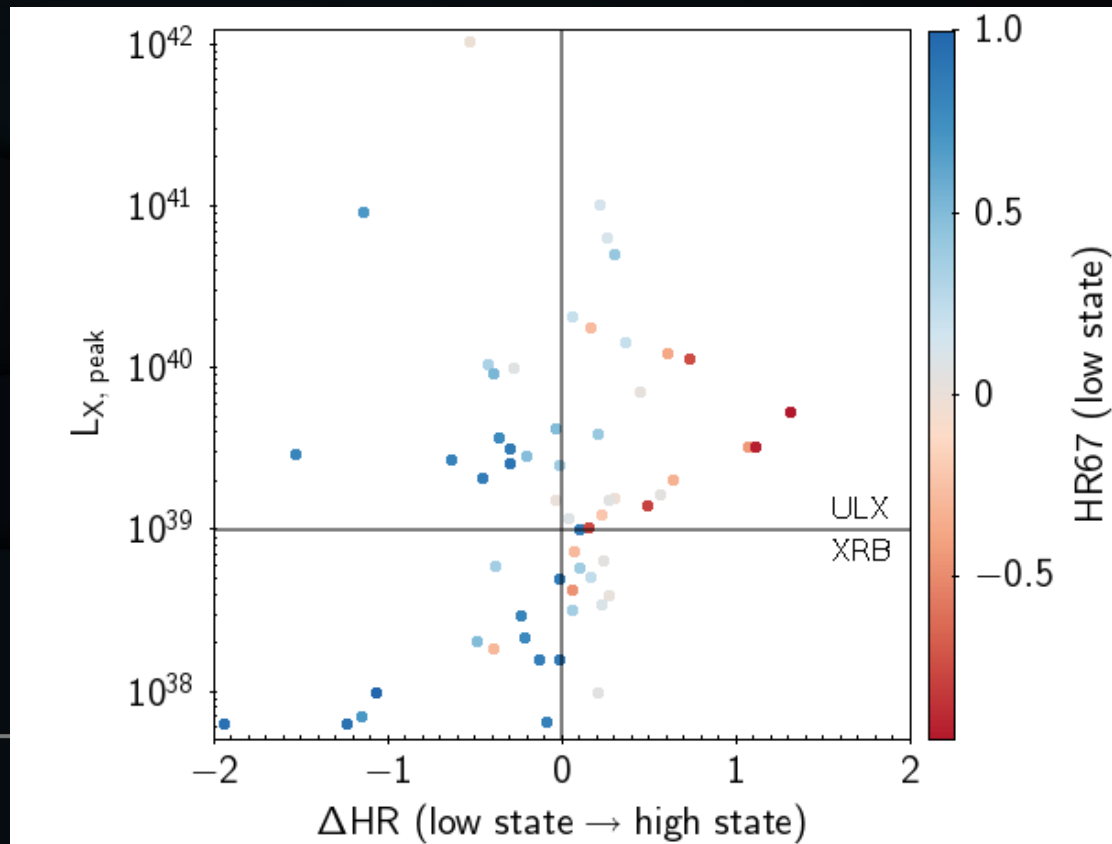
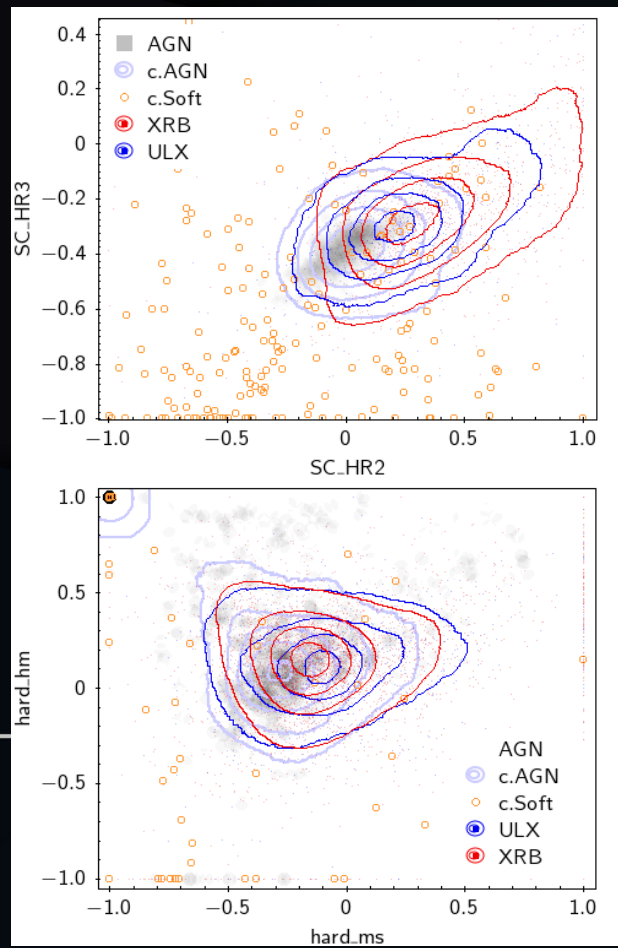
# Outlook

- Potential of CLAXBOI: population studies {
  - ULX & HLX (to be sub),
  - AGN candidates in dwarf galaxies,
  - Study AGN subpopulations...
- Search and study outliers in known populations
- Application to eROSITA sources

# Backup slides

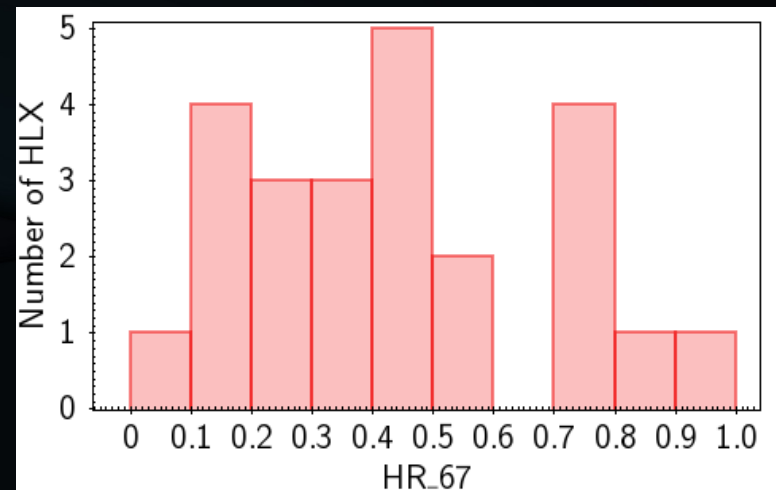
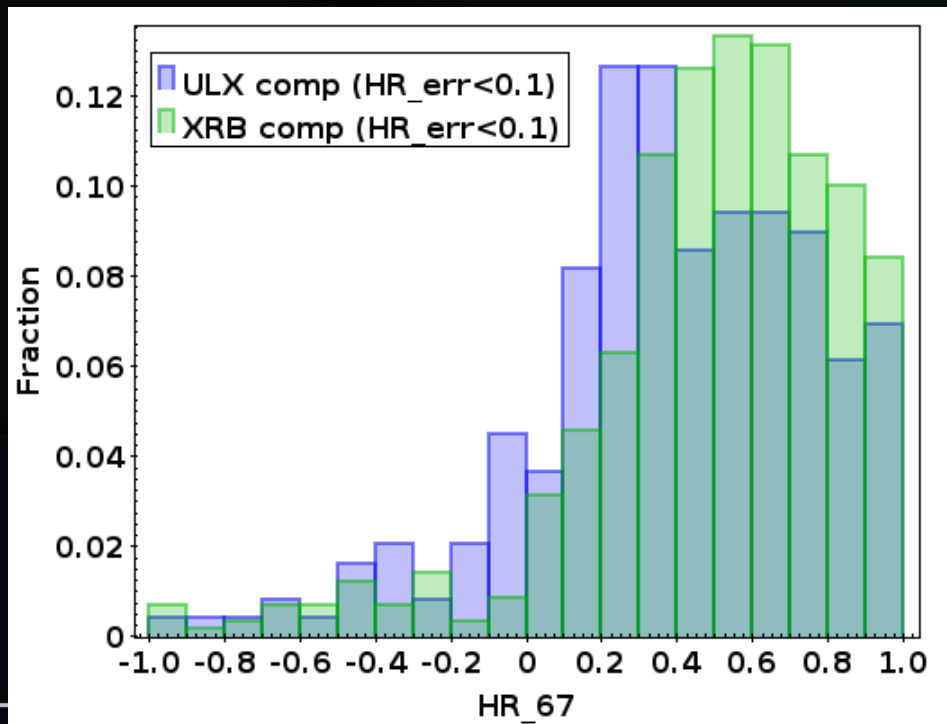


# Hardness of ULX / XRB



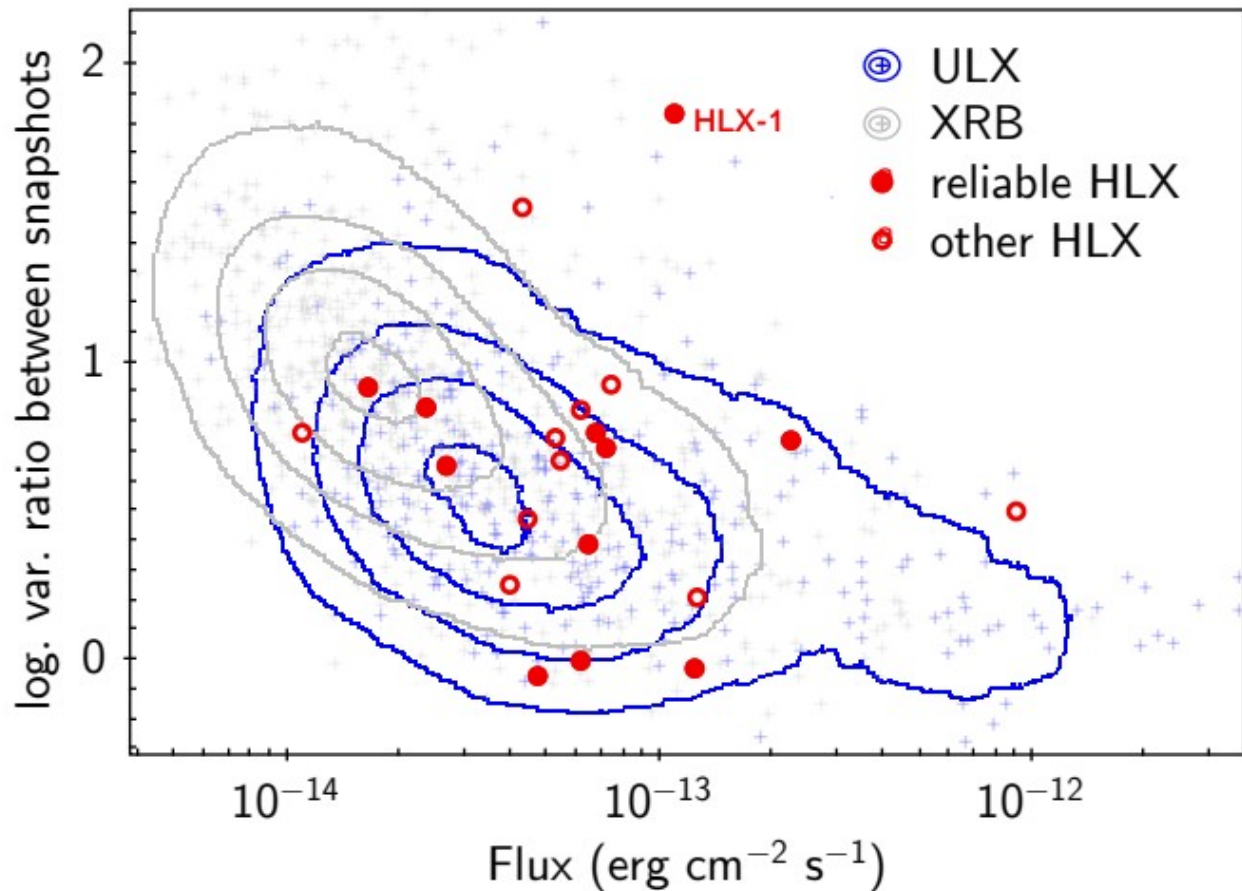


# Hardness of ULX / XRB / HLX



84 % probability of intrinsic bimodality

# Variability of ULX / XRB / HLX

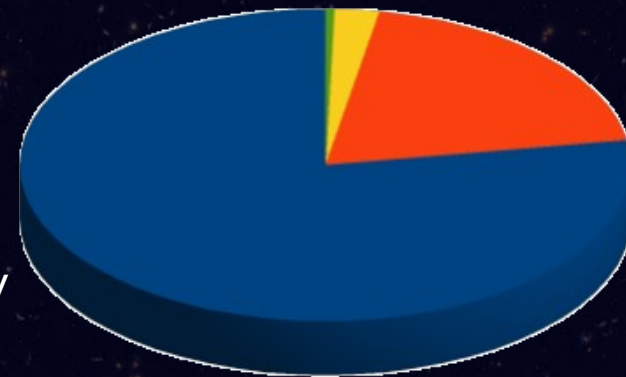


# Results on the whole sample

## Test sample

- >200 sources analyzed manually (Swift+XMM)
- >90% accuracy on the sources classified as AGN and stars
- About 40-60 % accuracy on ... as XRB  
60 % accuracy on ... as CV
  - Challenge 1: false positives are often types under-represented in our training sample.
  - Challenge 2: small training sample for XRB and CV

■ AGN  
■ Star  
■ X-ray binaries  
■ Cataclysmic variables



⇒ ~250,000 new AGN candidates

Tranin et al. A&A 2022



# Features

Name	Category
Galactic latitude	Location
Gaia proper motion	Location
Relative distance to the host center	Location
X-ray over optical (b,r) flux ratio	Counterparts
X-ray over infrared (W1,W2) flux ratio	Counterparts
X-ray max to min flux ratio	Variability
X-ray lower max to higher min flux ratio	Variability
X-ray hardness ratio HR1, HR2, HR3...	Hardness
Power law index fitted to X-ray spectrum	Hardness
X-ray luminosity	Hardness

For 2SXPS :

Coefficient $\alpha_{\text{location}}$	8.8
Coefficient $\alpha_{\text{hardness}}$	7.3
Coefficient $\alpha_{\text{multiwavelength}}$	2.1
Coefficient $\alpha_{\text{variability}}$	3.9