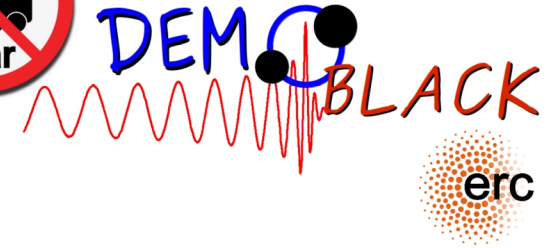


Michela Mapelli

Padova University

INFN – Padova



Constraints on the intermediate-mass black hole population from GW events

Main collaborators:

M. Celeste Artale, Alessandro Ballone, Yann Bouffanais, Guglielmo Costa, Marco Dall'Amico, Ugo N. Di Carlo, Gaston Escobar, Giuliano Iorio, Erika Korb, Carole Périgois, Sara Rastello, Filippo Santoliquido, Cecilia Sgalletta, Stefano Torniamenti

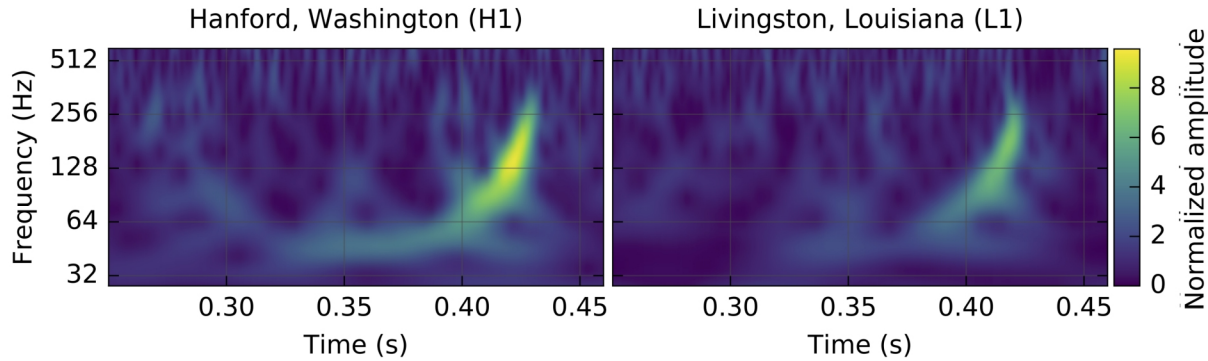
FERO 10, Toulouse March 30th 2022

OUTLINE:

1. Lessons learned from gravitational waves (GWs)
2. Formation channels of intermediate-mass black holes (IMBHs)
3. Future GW detectors
4. Conclusions

1. Lessons learned from GW detections

GW150914: the first binary black hole (BBH)

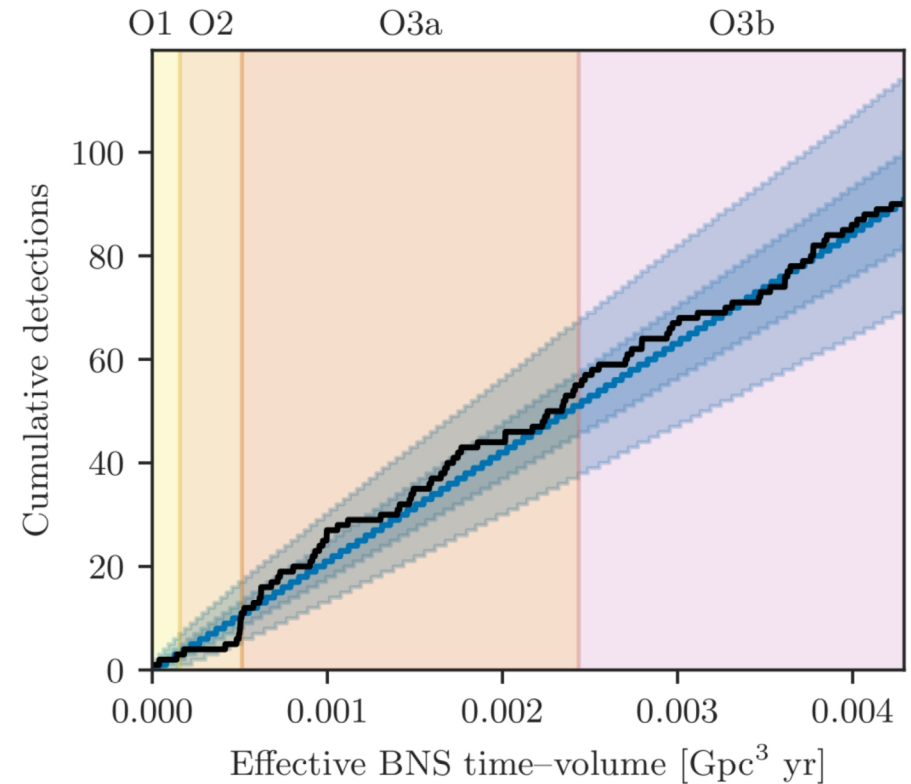


Abbott et al. 2016, PhRvL, 116, 1102

O1 + O2 + O3:

90 GW event candidates
most of them BBHs

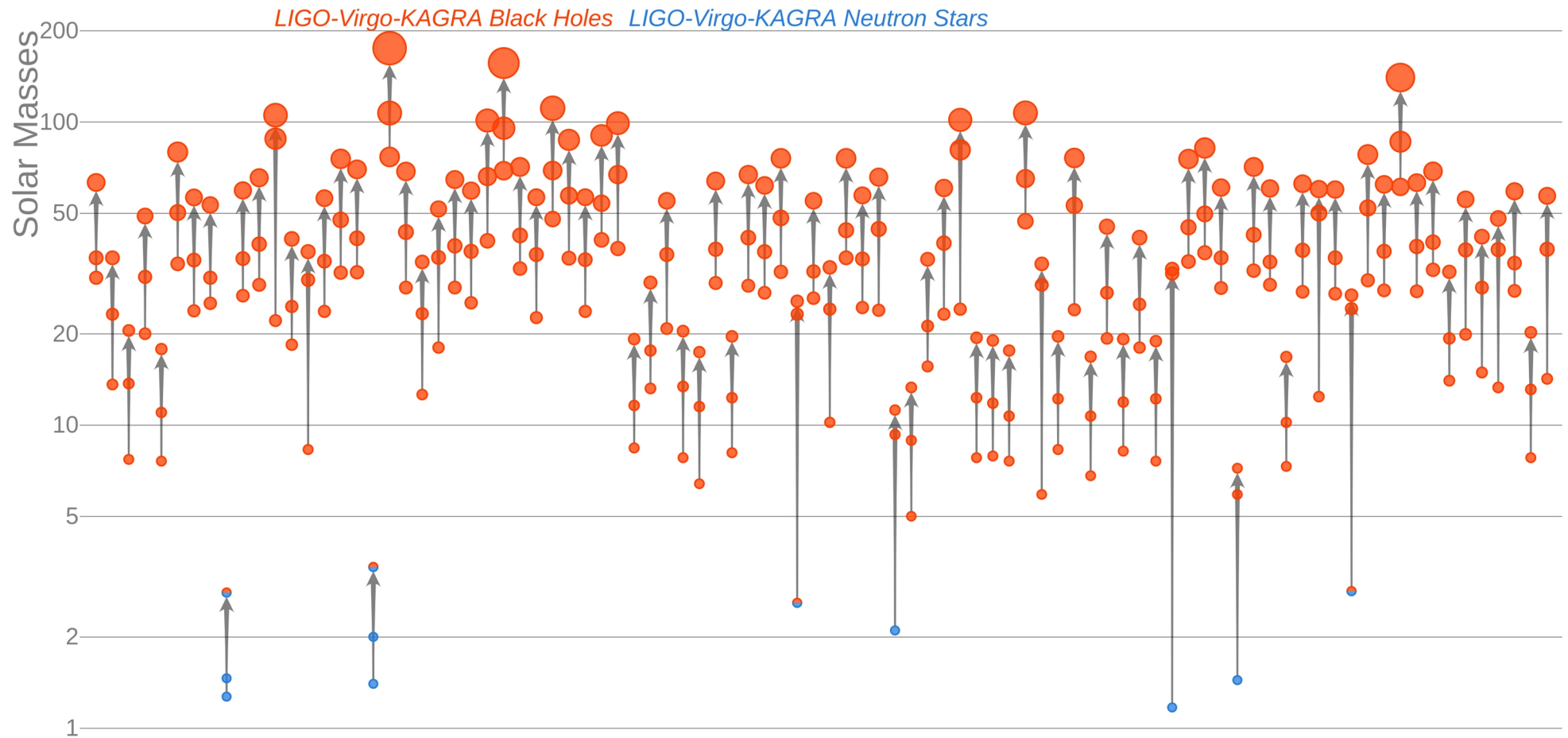
(Abbott et al. 2021, GWTC-2;
Abbott et al. 2022, GWTC-2.1;
Abbott et al. 2022, GWTC-3)



1. Lessons learned from GW detections

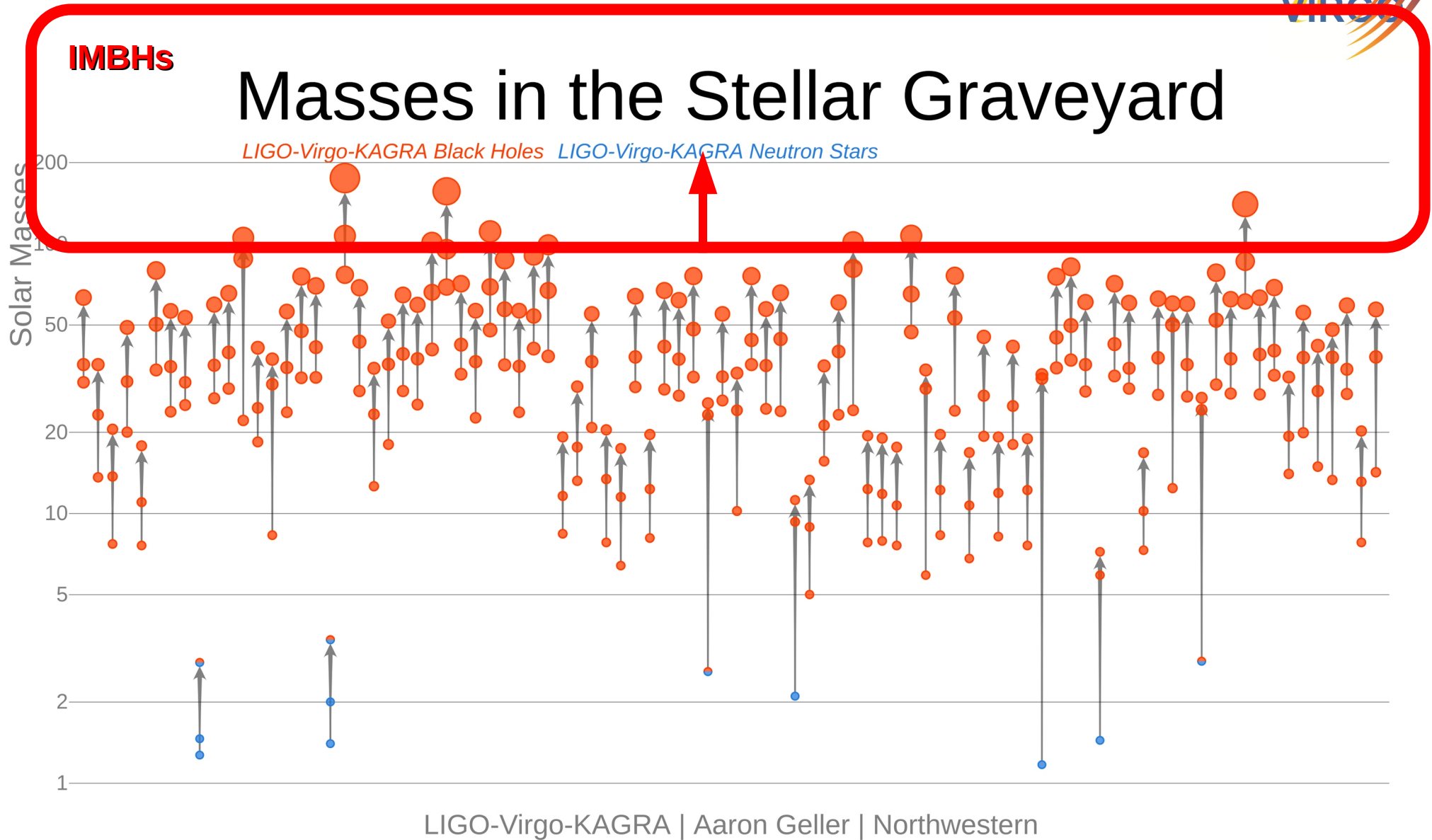


Masses in the Stellar Graveyard



Abbott et al. 2022, GWTC-3

1. Lessons learned from GW detections



Abbott et al. 2022, GWTC-3

1. Lessons learned from GW detections: GW190521



Discovery paper -

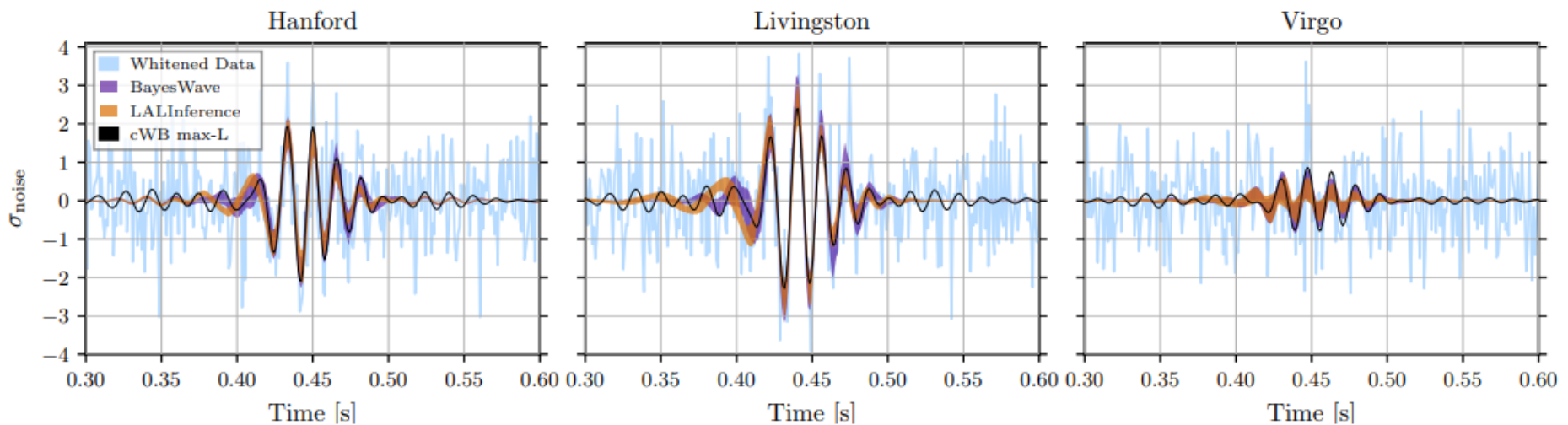
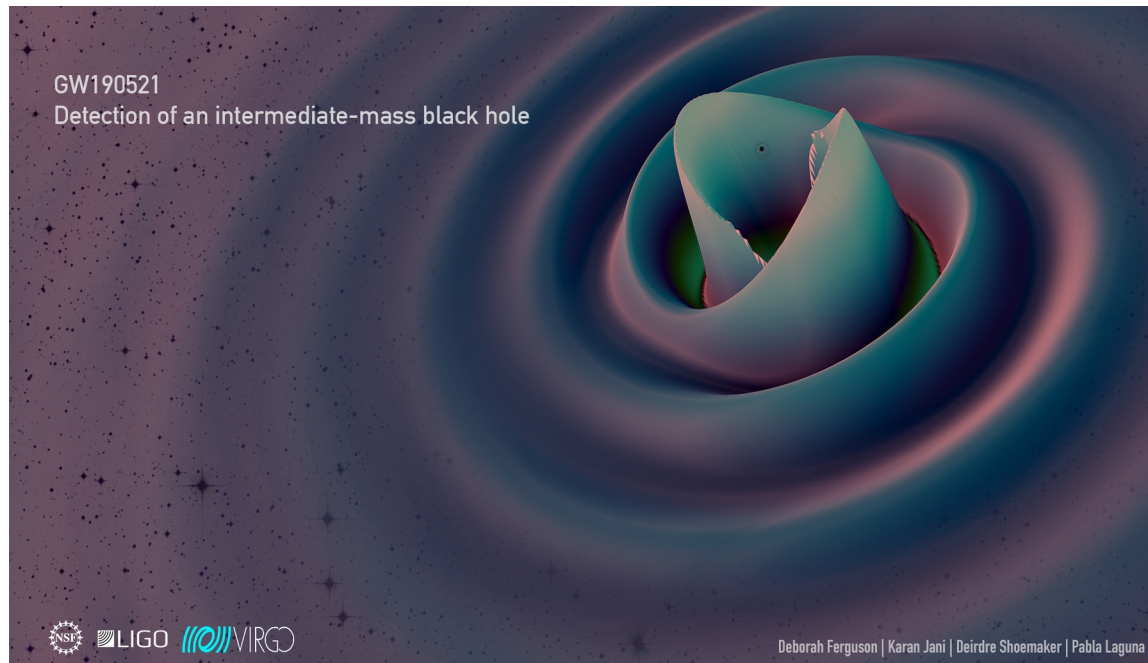
Phys. Rev. Lett. 125, 101102 (2020)
<https://dcc.ligo.org/LIGO-P2000020/public>

(Astro)physical implications -

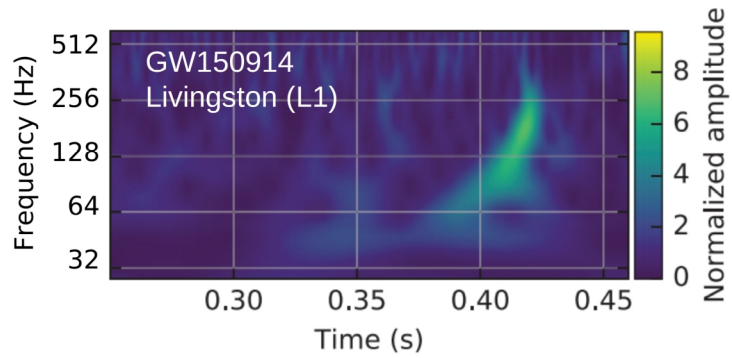
Astrophys. J. Lett. 900, L13 (2020)
<https://dcc.ligo.org/LIGO-P2000021/public>

Data release -

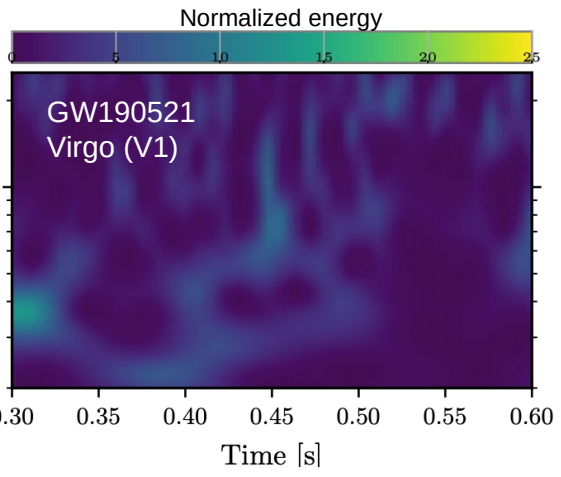
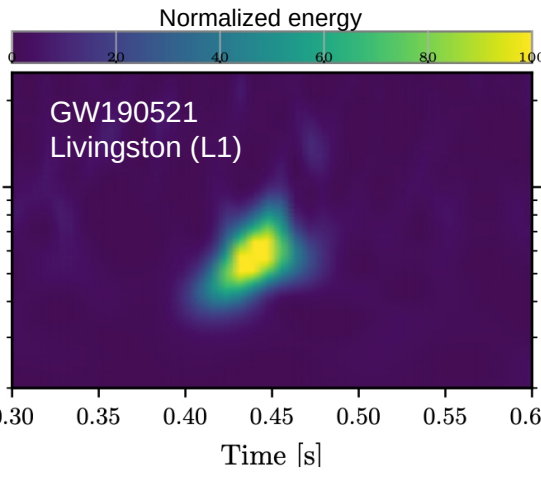
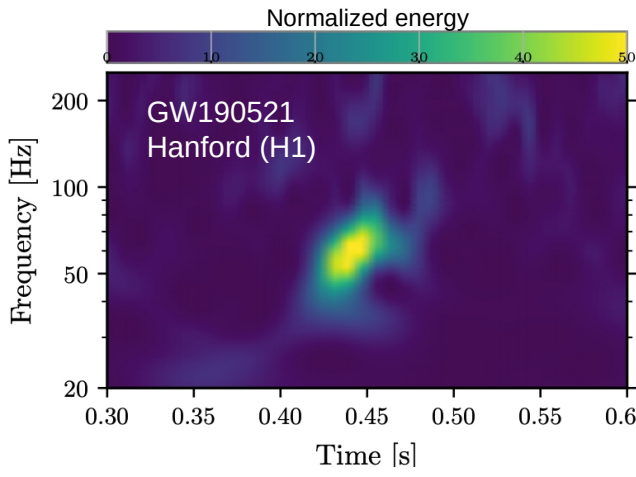
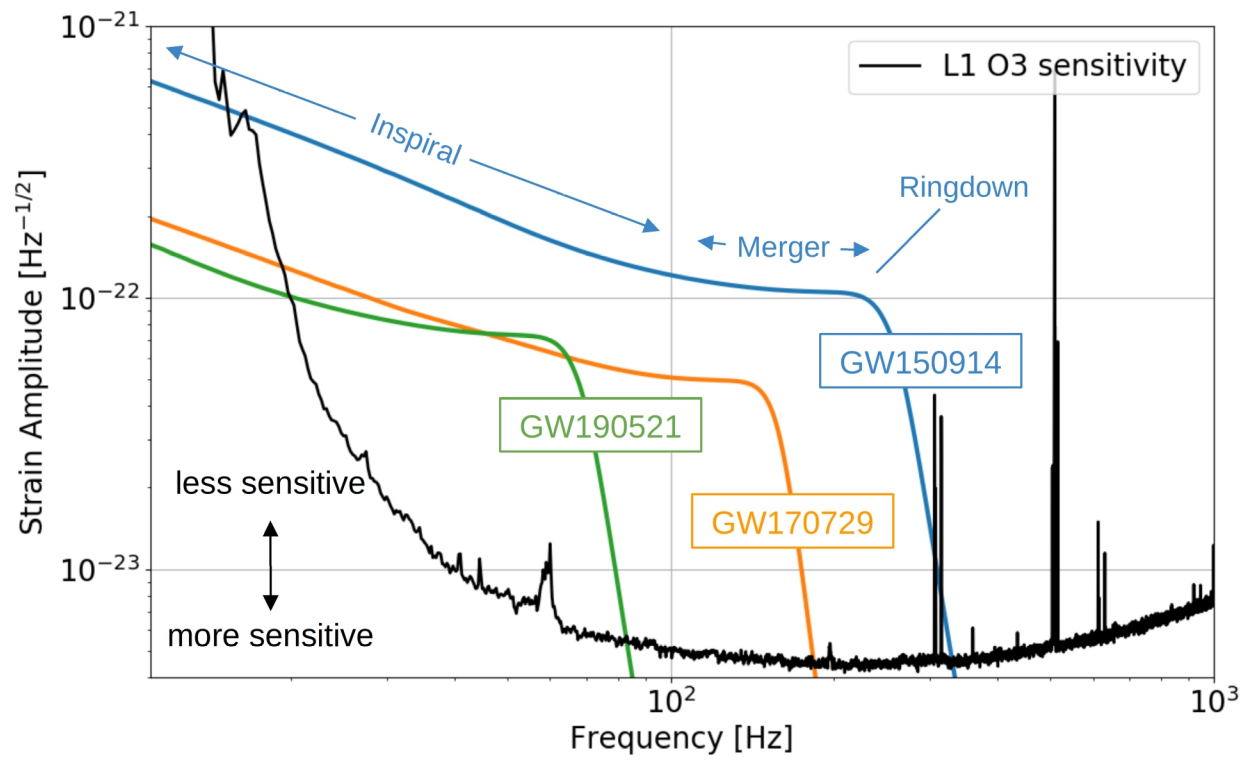
<https://dcc.ligo.org/LIGO-P2000158/public>



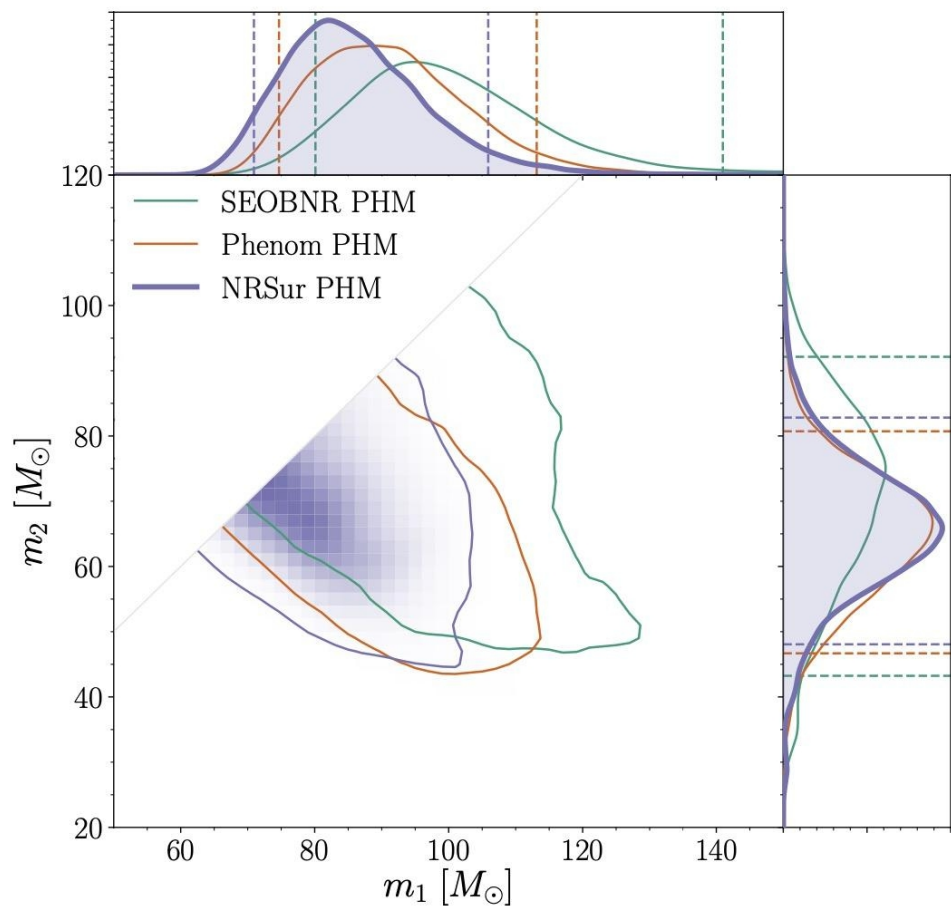
1. Lessons learned from GW detections: GW190521



wrt smaller BBHs, the event looks like a BANG: no inspiral, only merger



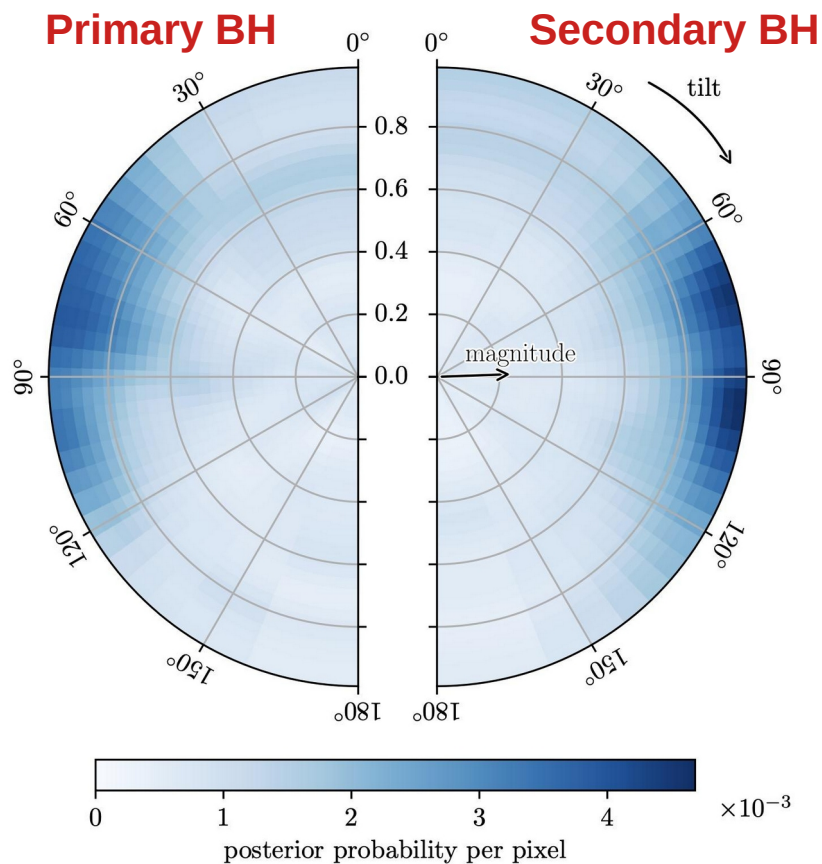
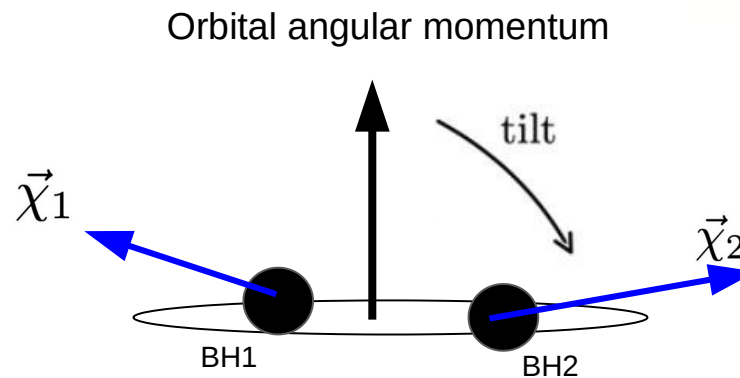
1. Lessons learned from GW detections: GW190521



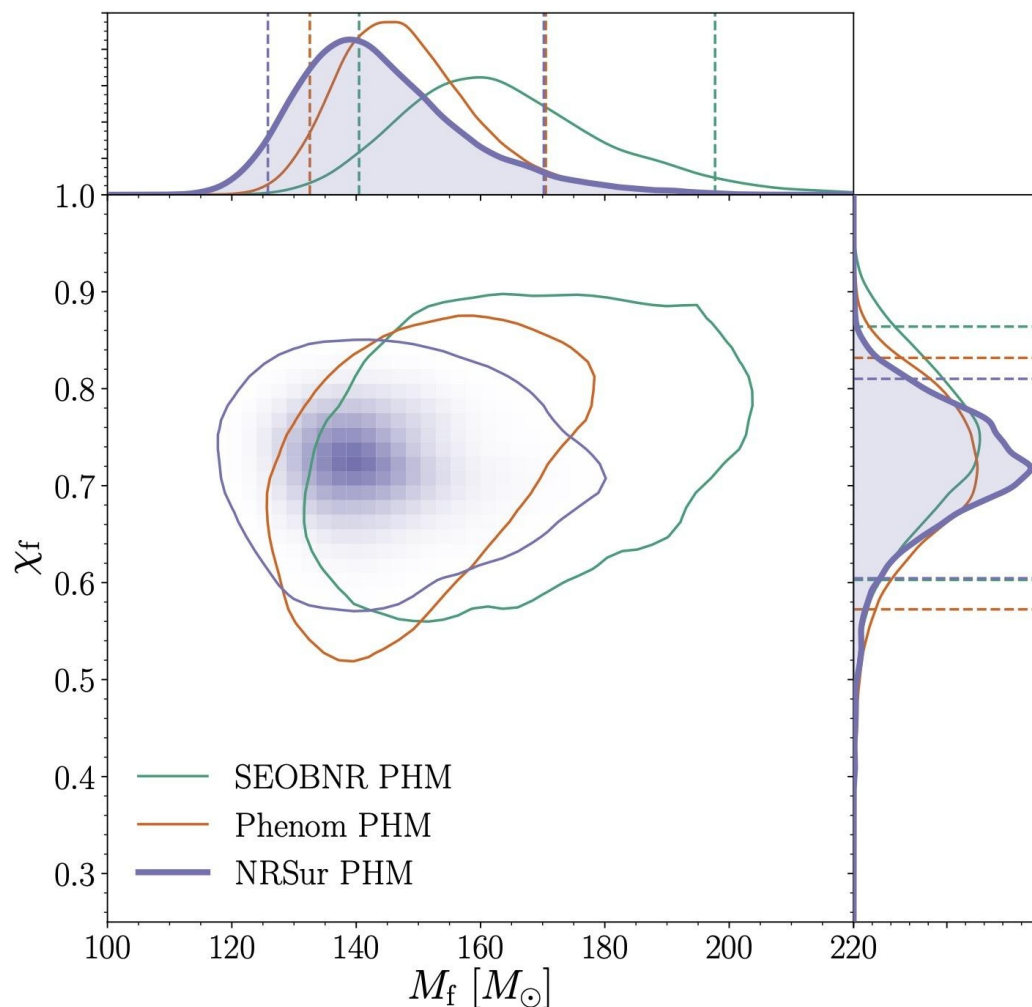
Spin magnitudes:

$$\chi_1 = 0.69^{+0.27}_{-0.62}$$

$$\chi_2 = 0.73^{+0.24}_{-0.64}$$



1. Lessons learned from GW detections: GW190521



- Final BH MASS

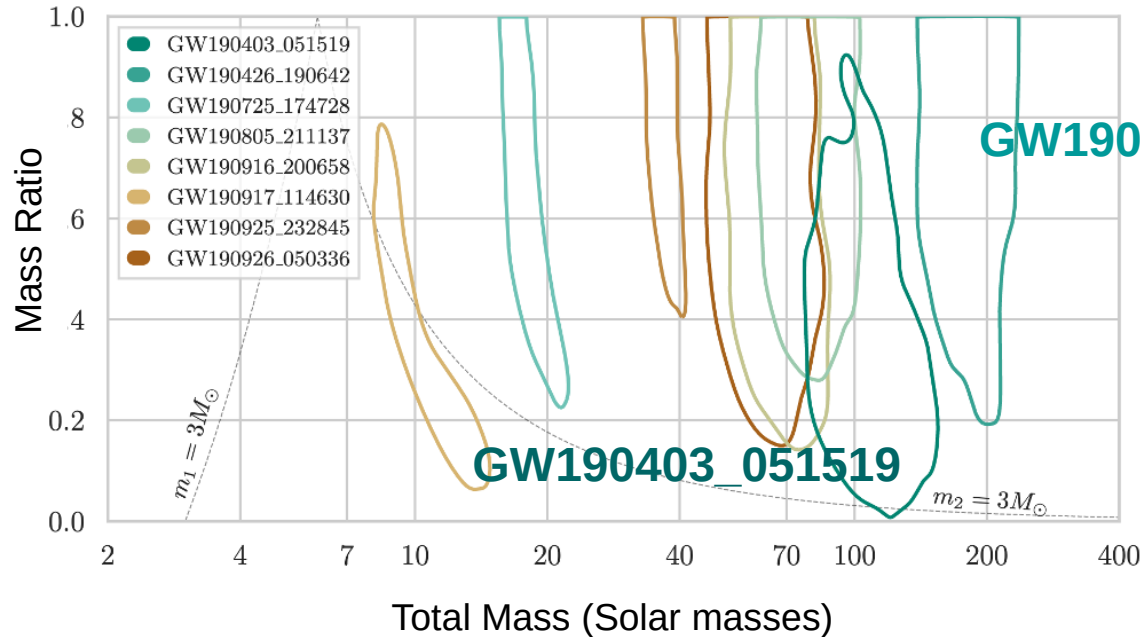
$$M_f = 142^{+28}_{-16} M_{\odot}$$

$$E_{GW} \sim 8M_{\odot}c^2 \sim 10^{55} \text{ erg}$$

- No support for $M_f < 100M_{\odot}$
- Most massive BH observed via GWs
- **First conclusive observation of an intermediate-mass black hole with GWs**
- Final spin

$$\chi_f = 0.72^{+0.09}_{-0.12}$$

1. Lessons learned from GW detections: the other candidates



PROBLEMS:

- * low SNR
- * low probability of astro. origin

Other IMBH candidates:

GW190426_190642 (O3a)

GW200220_061928 (O3b)

+ maybe **GW190403_051519** (O3a)

* low mass ratio

* large spin ($\chi_1 \sim 0.9$) aligned with orbital angular momentum

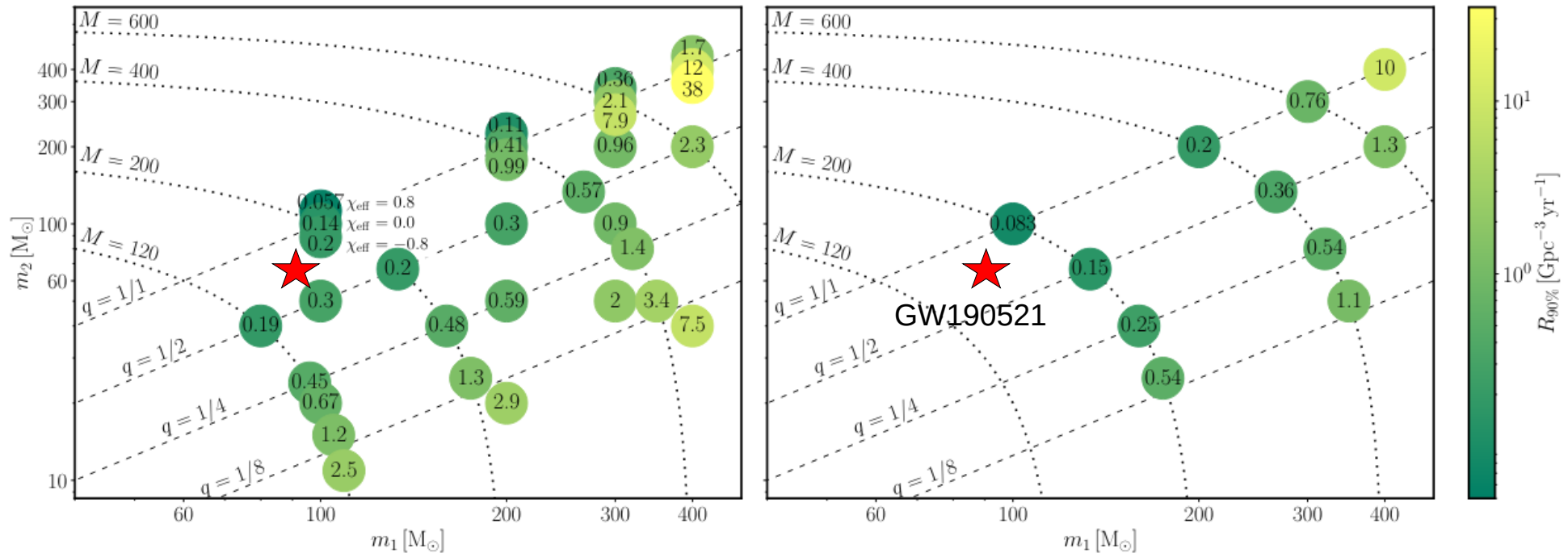
(Abbott et al. 2022, GWTC-2.1;
Abbott et al. 2022, GWTC-3)

1. Lessons learned from GW detections: rates



$\chi_p = 0.0$

$\chi_p = 0.42$



90% upper limit on IMBH rate from LVC

Best constraints on 200 + 200 M_\odot and effective aligned spin $\chi_{\text{eff}} = 0.8$

$$R_{90\%} = 0.056 \text{ Gpc}^{-3} \text{ yr}^{-1} \text{ (90\% confidence)}$$

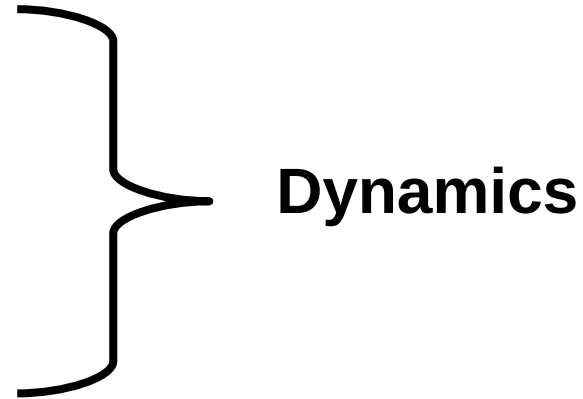
Merger rate density of BBHs similar to GW190521 $R = 0.08 \text{ Gpc}^{-3} \text{ yr}^{-1}$

2. Formation channels of IMBHs

1. (Very) massive & metal – poor star collapse

2. Hierarchical merger

3. Star – star collision



2. Formation channels of IMBHs: massive star collapse

MASSIVE STARS lose mass by stellar WINDS

Stellar winds depend on metallicity & stellar luminosity

(e.g. Vink et al. 2001; Graefener & Hamann 2008; Vink et al. 2011)

$$\dot{M} \propto Z^\alpha$$

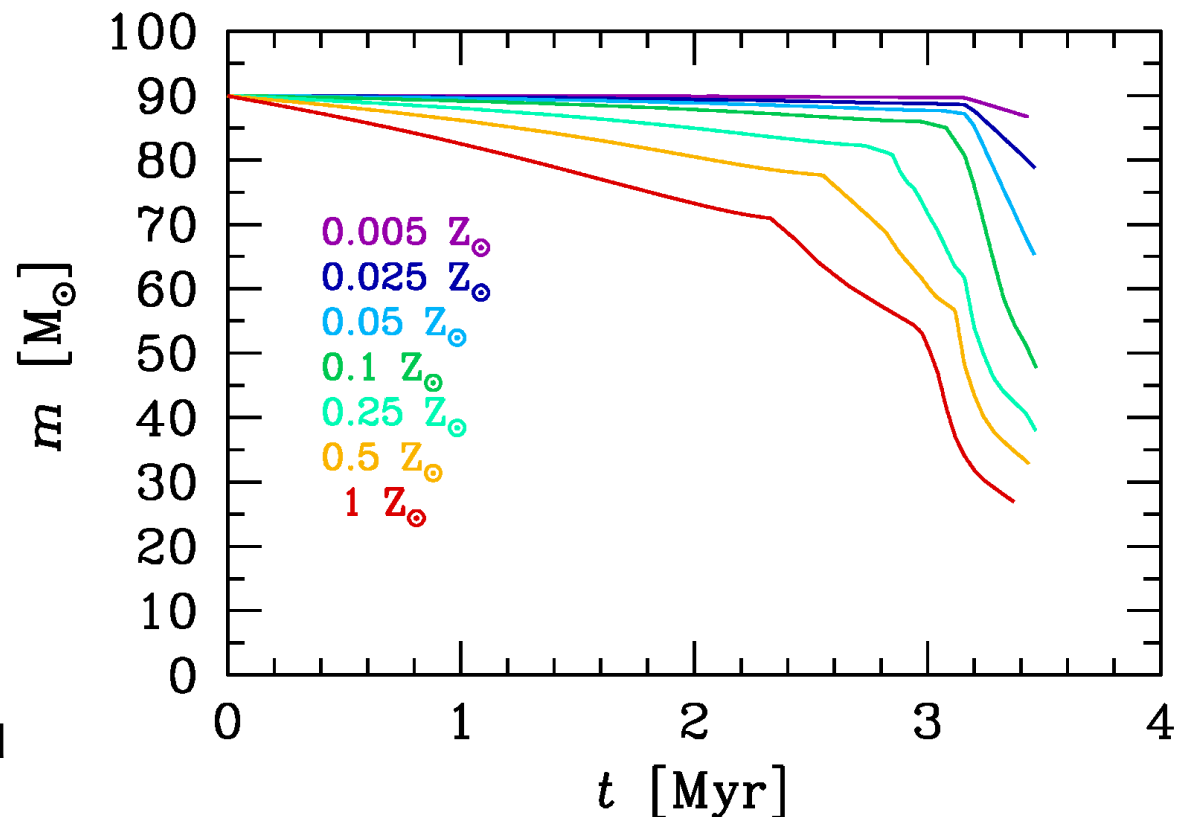
$$\alpha = 0.85 \quad [\text{if } \Gamma < 2/3]$$

$$\alpha = 2.45 - 2.4\Gamma \quad [\text{if } \Gamma > 2/3]$$

$$\Gamma = \frac{L_*}{L_{\text{Edd}}}$$

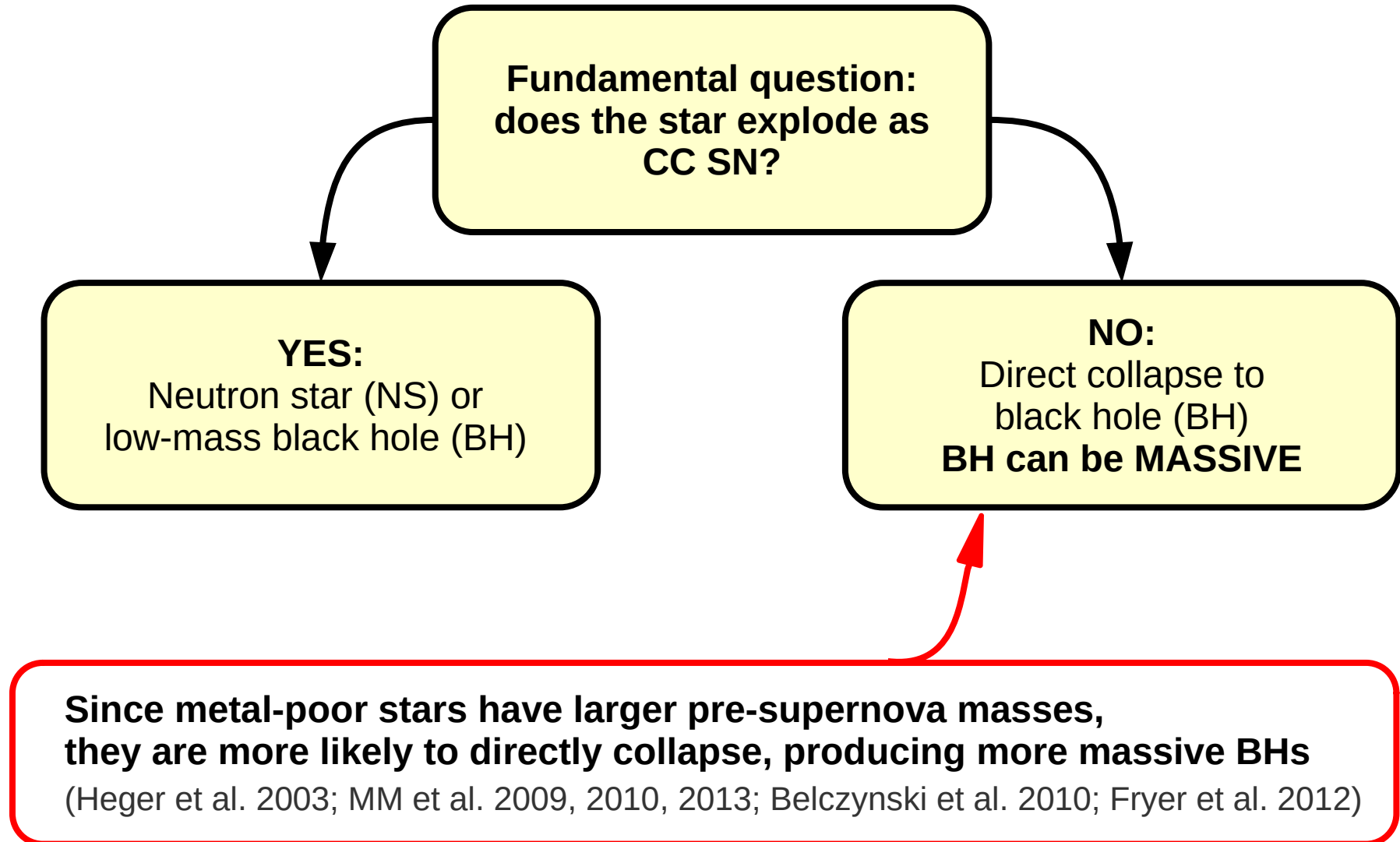
Chen, Bressan et al. (2015)

Massive metal-poor stars end their life with higher mass than metal-rich ones

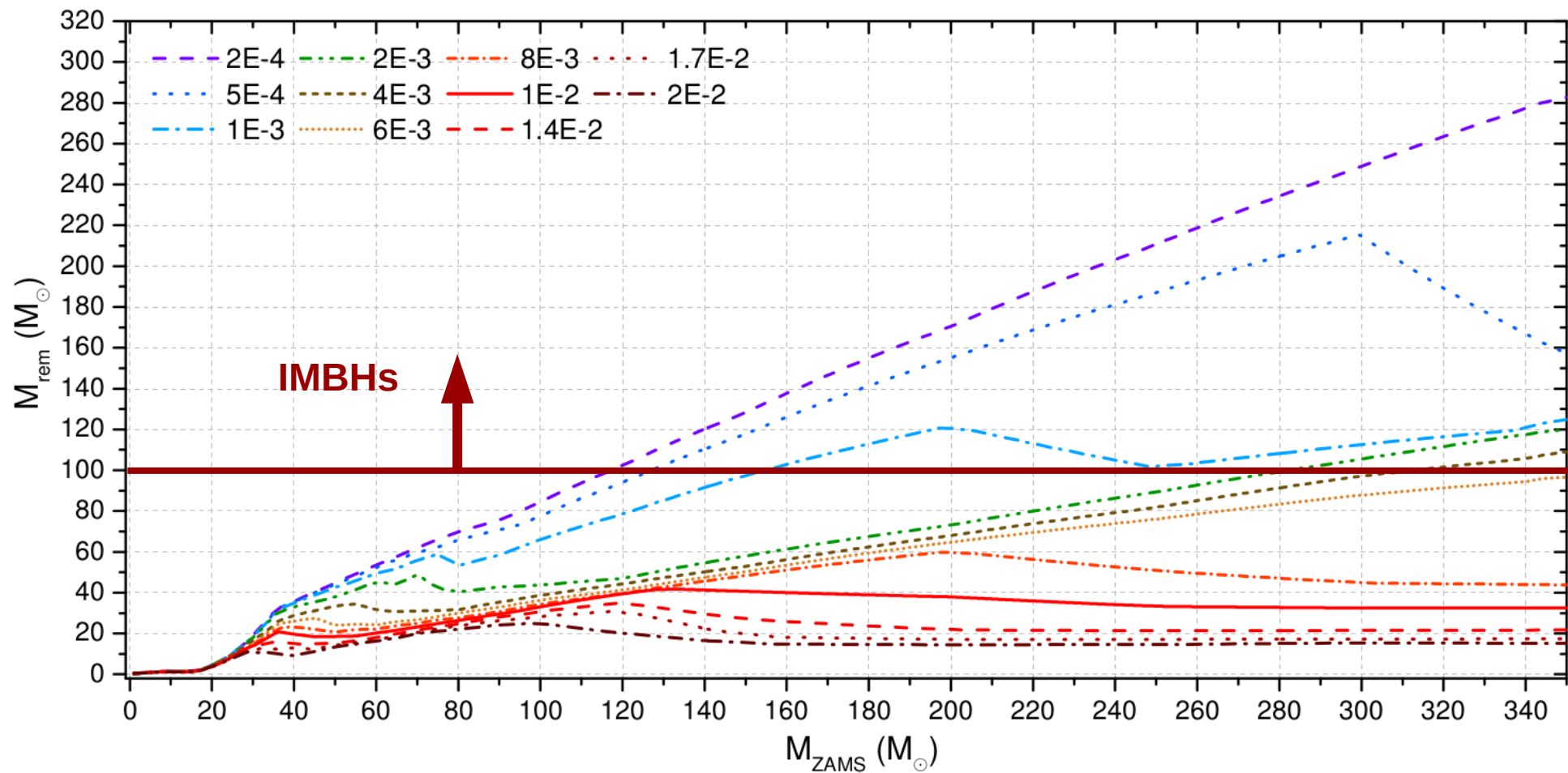


2. Formation channels of IMBHs: massive star collapse

CORE – COLLAPSE SUPERNOVA (CC SN) / DIRECT COLLAPSE:



2. Formation channels of IMBHs: massive star collapse



Spera & MM (2017)

2. Formation channels of IMBHs: massive star collapse

Very massive metal poor stars

efficiently produce gamma-ray (~1 MeV) photons
at the end of carbon burning

Leading to formation of
electron-positron pairs

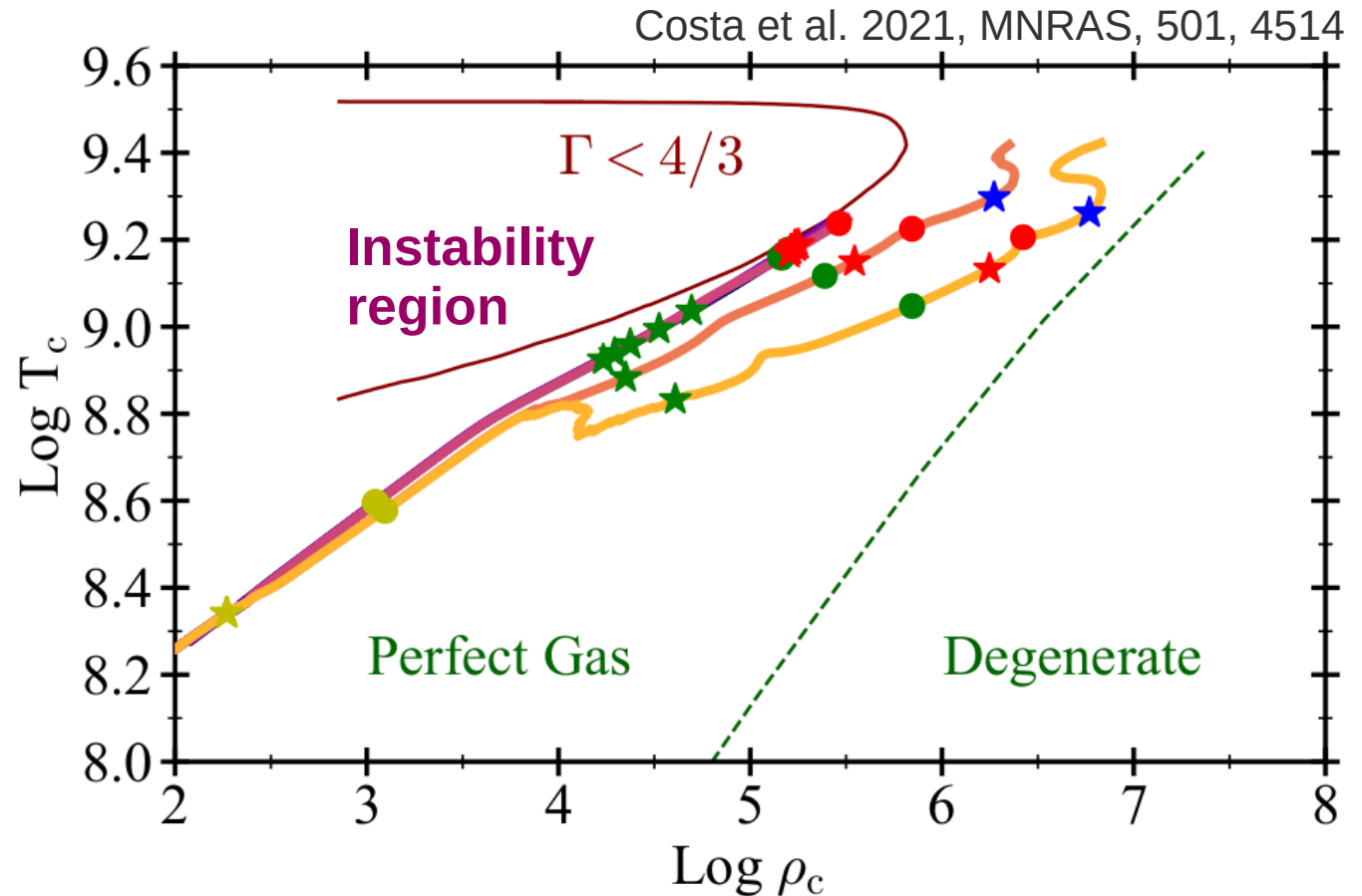
Missing photon pressure
triggers instability:

PAIR INSTABILITY

* contraction of
stellar core

* premature ignition of
neon, oxygen, silicon

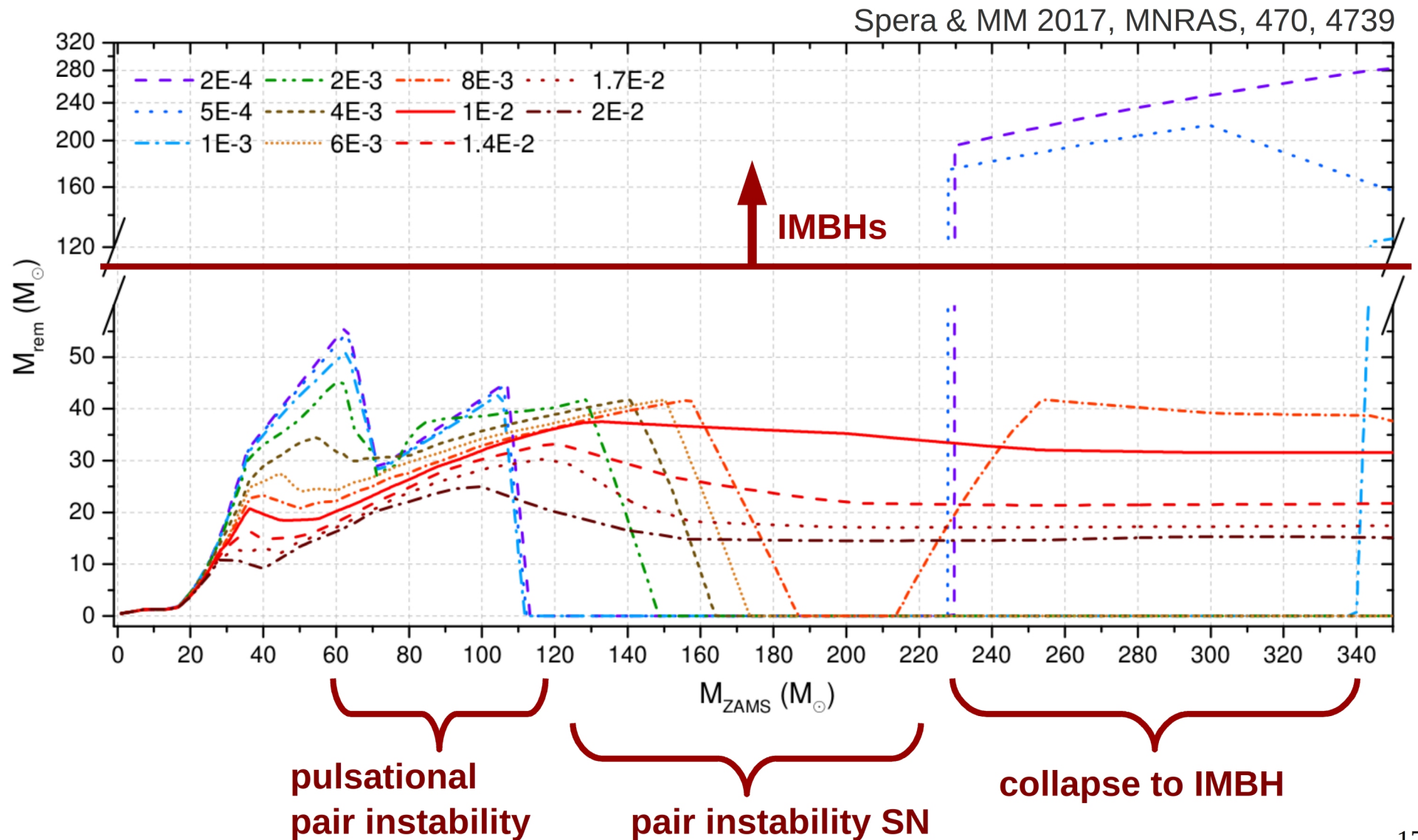
$$\Gamma = \left(\frac{\partial \ln P}{\partial \ln \rho} \right)_{\text{ad}}$$



Stars (Circles): beginning (end) of **helium**, **carbon**,
neon, and **oxygen** burning

2. Formation channels of IMBHs: massive star collapse

Impact of pulsational pair instability (if $32 < m_{\text{He}} / M_{\odot} < 64$) and pair instability supernovae (if $64 < m_{\text{He}} / M_{\odot} < 135$)



2. Formation channels of IMBHs: dynamical

DYNAMICS is IMPORTANT ONLY IF

density $> 10^3$ stars pc^{-3}

i.e. only in dense star clusters

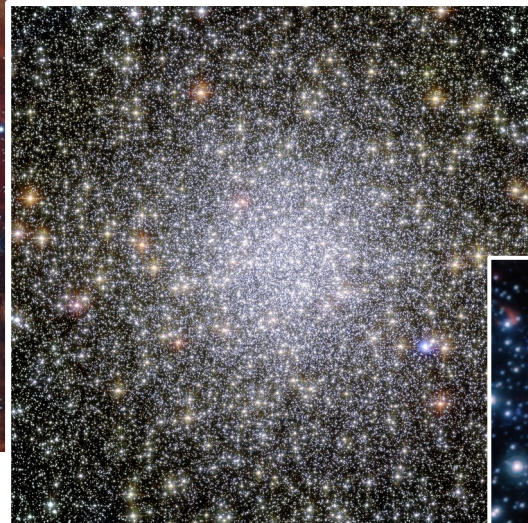
but massive stars (BH progenitors) form in star clusters

(Lada & Lada 2003; Weidner & Kroupa 2006; Weidner, Kroupa & Bonnell 2010; Gvaramadze et al. 2012; Portegies Zwart et al. 2010)



R136, credit: NASA

Young star clusters



47 Tucanae, credit:
NASA/ESA/HST

Globular clusters

Nuclear star clusters



Credit: ESO, Gillessen et al.

2. Formation channels of IMBHs: hierarchical mergers

Possible only in star clusters: the merger remnant can pair up by dynamical exchange
(e.g. Miller & Hamilton 2002)

RELATIVISTIC KICK up to few x 1000 km/s

(e.g. Campanelli et al. 2007)

→ the merger product might be ejected

R136, credits: NASA



Young star clusters:

Escape velocity:

few km/s

47 Tucanae,
credits:
NASA/ESA/HST



Globular clusters:

Escape velocity:

few ten km/s

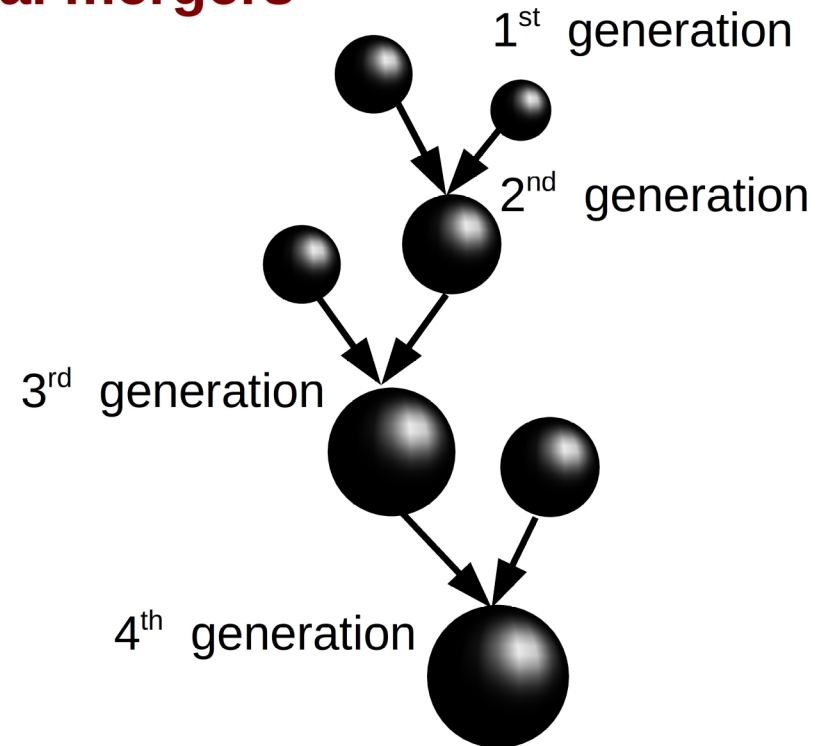
Credits: ESO,
Gillissen et al.



Nuclear clusters:

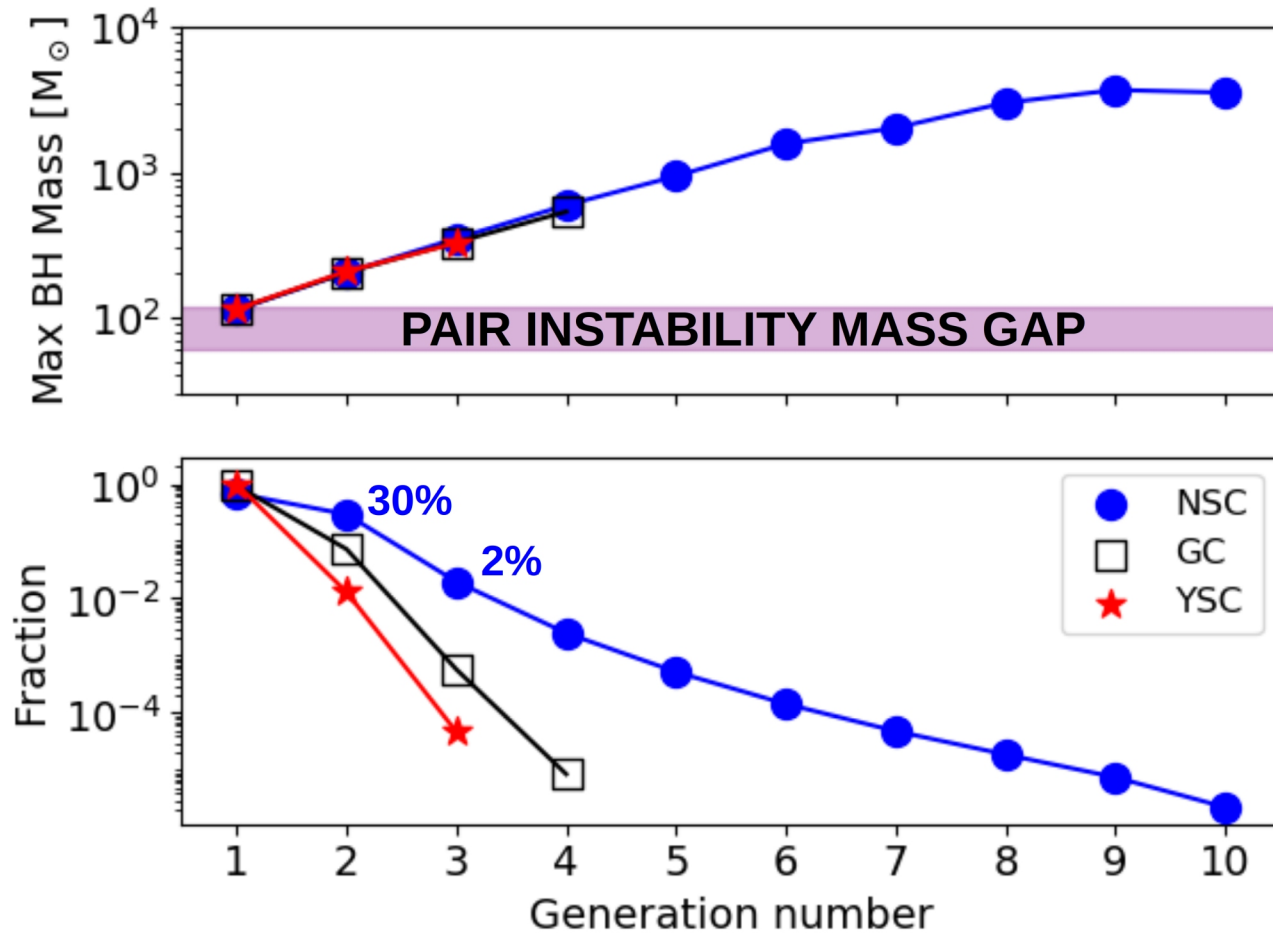
Escape velocity:

~ hundred km/s



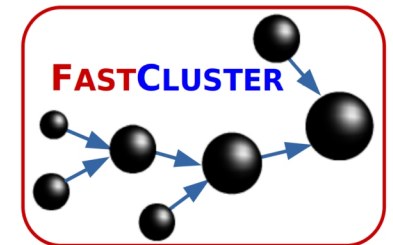
**WHAT KIND OF BH MASS
DO WE EXPECT?**

2. Formation channels of IMBHs: hierarchical mergers



MM et al. 2021, MNRAS, 505, 339

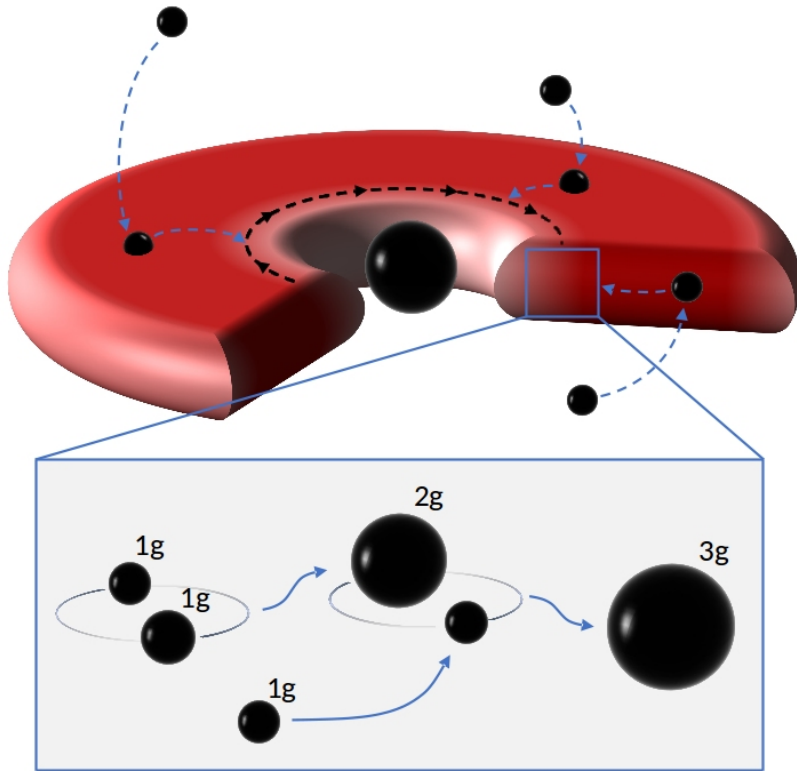
- * Up to 10 generations in nuclear star clusters
- * IMBHs form efficiently in nuclear star clusters
- * Most hierarchical mergers are 2nd generation



dynamics population
code **FASTCLUSTER**:
open-source version
available at this link

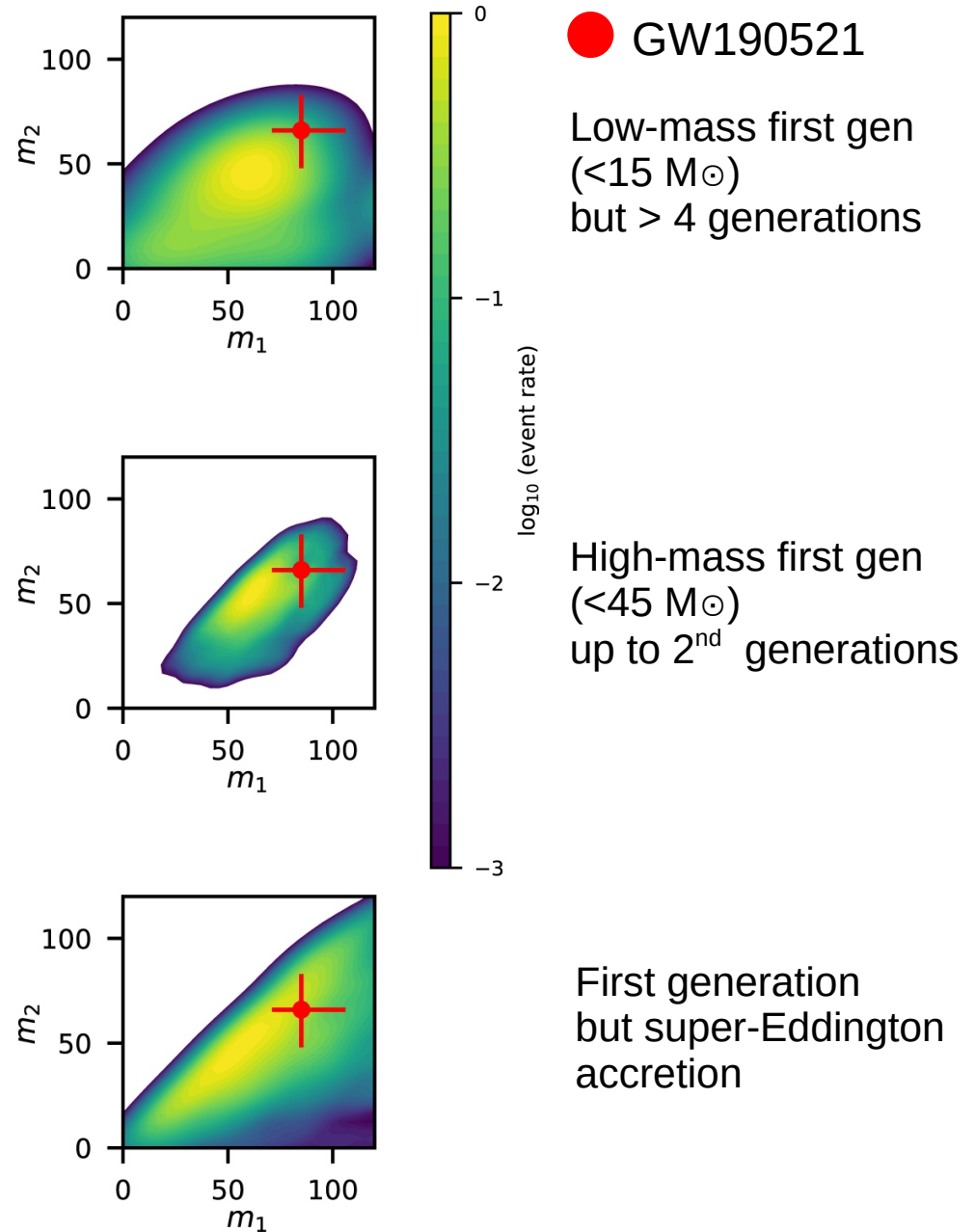
2. Formation channels of IMBHs: hierarchical mergers in AGN disks

Torques in the dense gas disk of an AGN favour pairing and merger of BBHs



Credit: Imre Bartos

See also Bartos et al. 2017; McKernan et al. 2012, 2018;
 Secunda et al. 2019; Yang et al. 2019, 2020;
 Samsing et al. 2020; Tagawa et al. 2020 **and many others**



Tagawa et al. 2021, ApJ, 908, 194

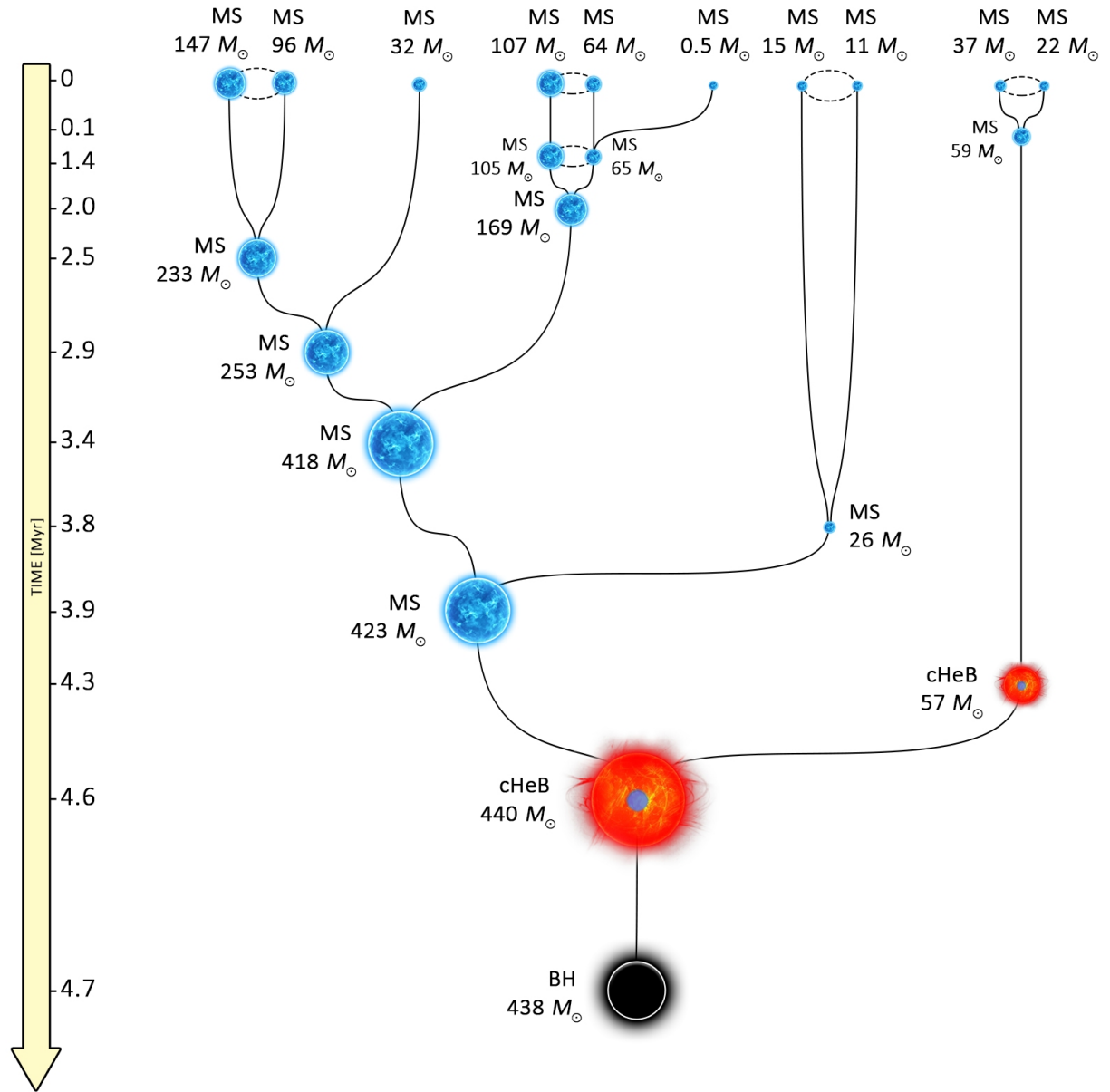
21

2. Formation channels of IMBHs: star – star collisions

Dynamical friction brings massive stars to cluster's core

If dynamical friction timescale shorter than massive star lifetime, massive stars collide and form a super-massive star ($>100 M_{\odot}$)

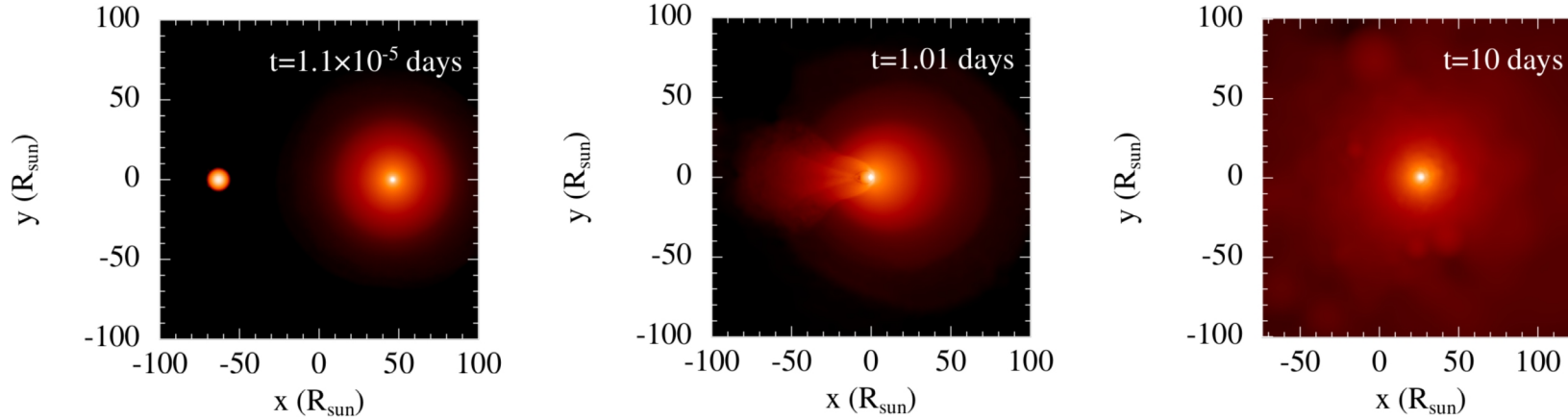
Portegies Zwart et al. 2004, Nat, 428, 724



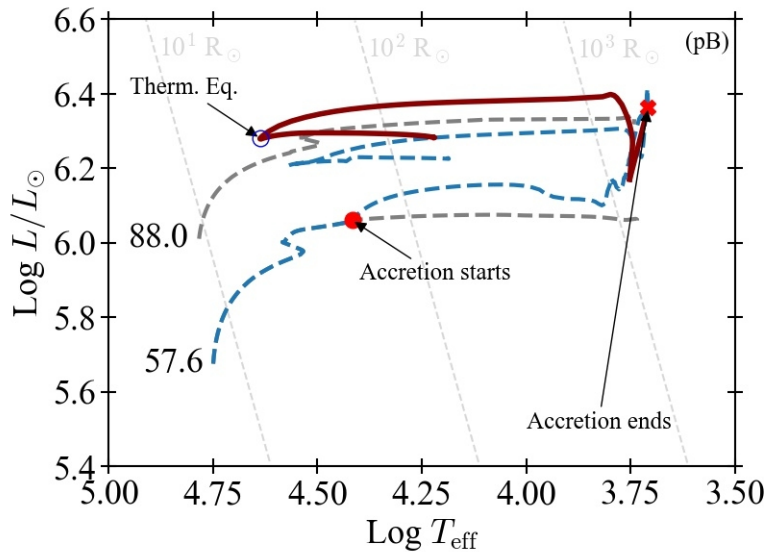
Di Carlo, MM et al. 2021, MNRAS, 507, 5132

2. Formation channels of IMBHs: star – star collisions

- PROBLEMS:**
1. mass loss during collision → needs hydro-dynamical simulations
 2. mass loss by stellar winds → needs accurate star evolution calculations



Max 12% mass loss during head-on star – star collision
(Ballone et al., subm.)



If star is metal-poor ($< 0.1 Z_{\odot}$), stellar winds after collision $< 1 M_{\odot}$
→ Massive black hole can form
(Costa et al., subm.)

2. Formation channels of IMBHs: theory vs observations

	LVK IMBHs (GW190521 remnant)	Massive Star Collapse	Hierarchical Merger	Hierarchical Merger (AGN disk)	Star – Star collision
Mass (M_{\odot})	142 (+28,-16)	120 – 300 (depends on max star mass)	100 – 10'000?	100 – ?	100 – 500 ?
Spin	0.72(+0.09,-0.12)	???? (depends on ang. mom. transport)	~0.7 – 0.9 (from NR)	~0.7 – 0.9 (from NR)	???? (depends on ang. mom. transport)

- **The remnant of GW190521 is a HIERARCHICAL MERGER**
& its properties agree with expectations
- **Will GW190521 merge again?**
We do not know its recoil velocity and location
- **Can we observe other IMBH channels with LVK?**
In principle yes, but limitation at low frequencies

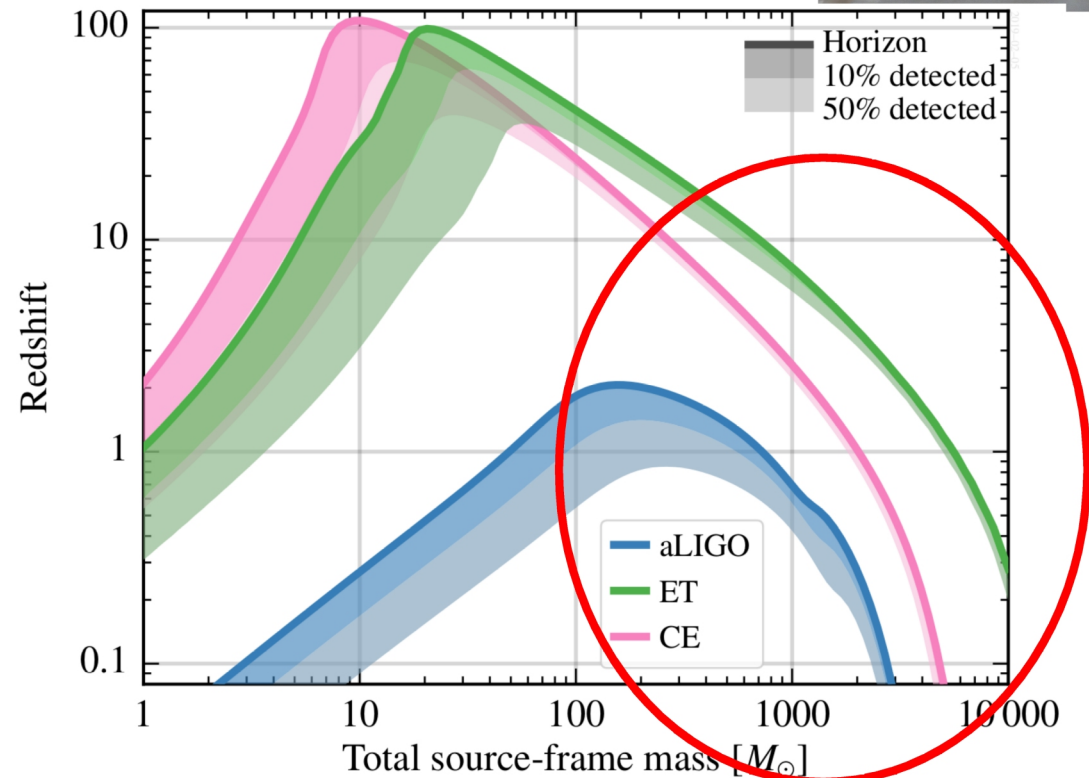
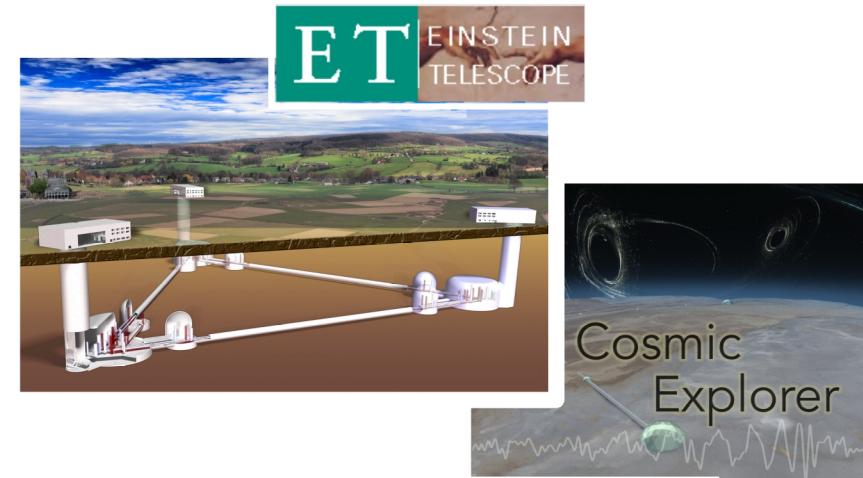
3. Future GW detectors: The Einstein Telescope (2035)

Einstein Telescope (ET) and Cosmic Explorer will observe BBH mergers up to $z \sim 30$ (~ 100 Myr after Big Bang)

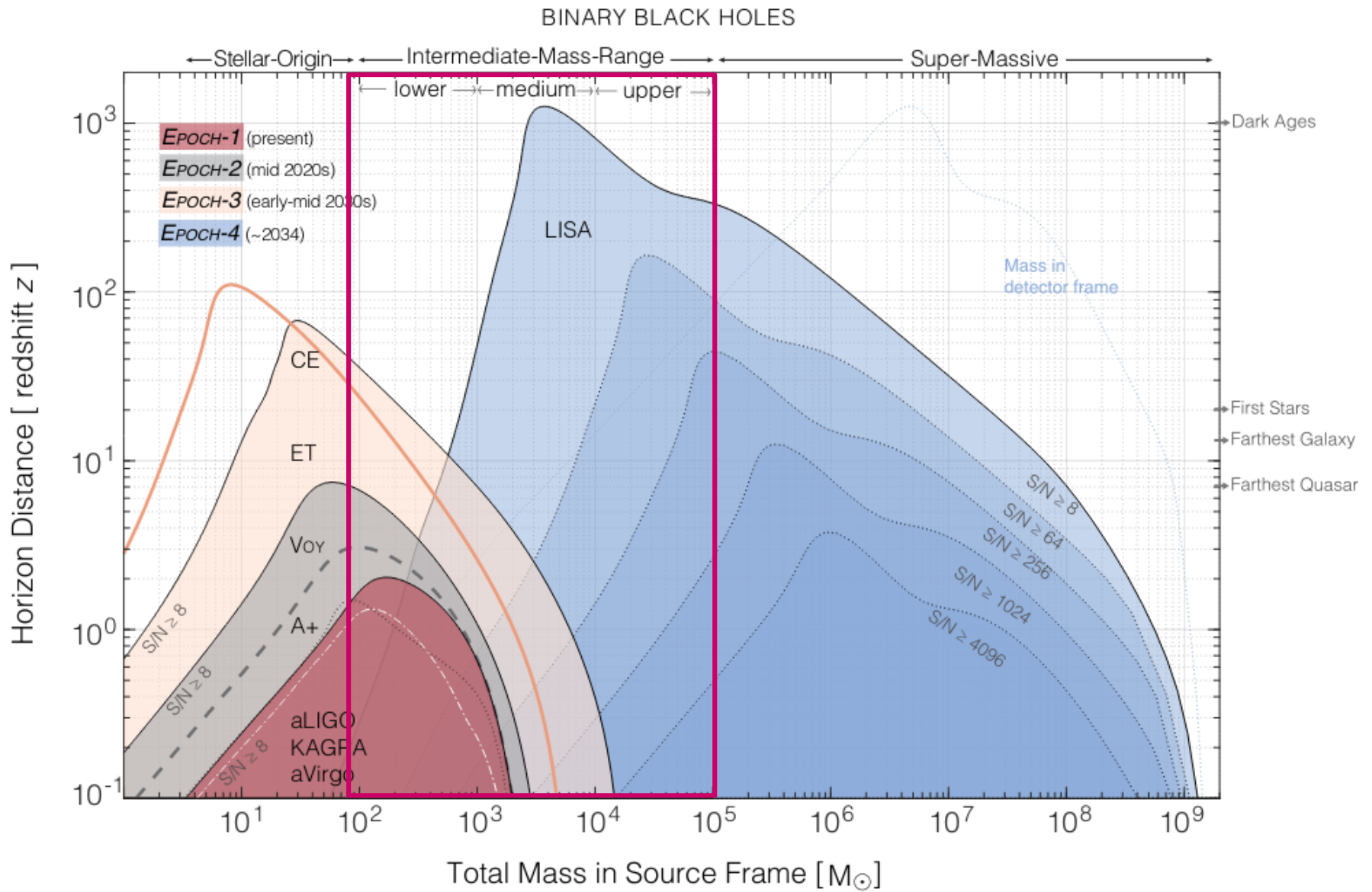
ET first “light”: 2035 (expected)

Join the Einstein Telescope’s Observation Science Board ([link](#))

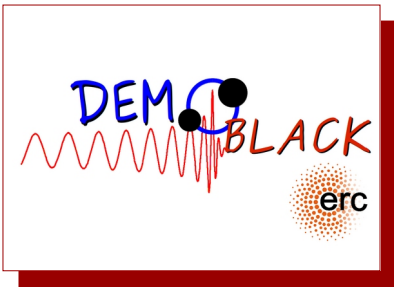
- 2023: first blue book of ET science case
- by 2035: build an active and inclusive scientific community ready to exploit ET data



3. Future GW detectors: LISA (2037)



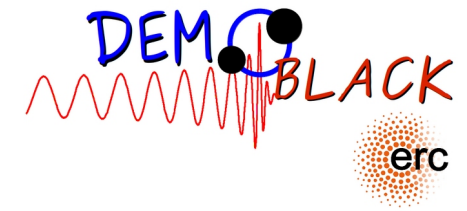
Jani, Shoemaker & Cutler 2020, NatAs, 4, 260



www.demoblack.com



4. Conclusions



- * The remnant of GW190521 (mass $\sim 142 M_{\odot}$, spin ~ 0.72) is the first IMBH observed with GWs (Abbott et al. 2020a, 2020b)
- * Other candidates (GW190403, GW190426, GW200220) with much lower SNR (Abbott et al. 2022, GWTC-2.1; Abbott et al. 2022, GWTC-3)

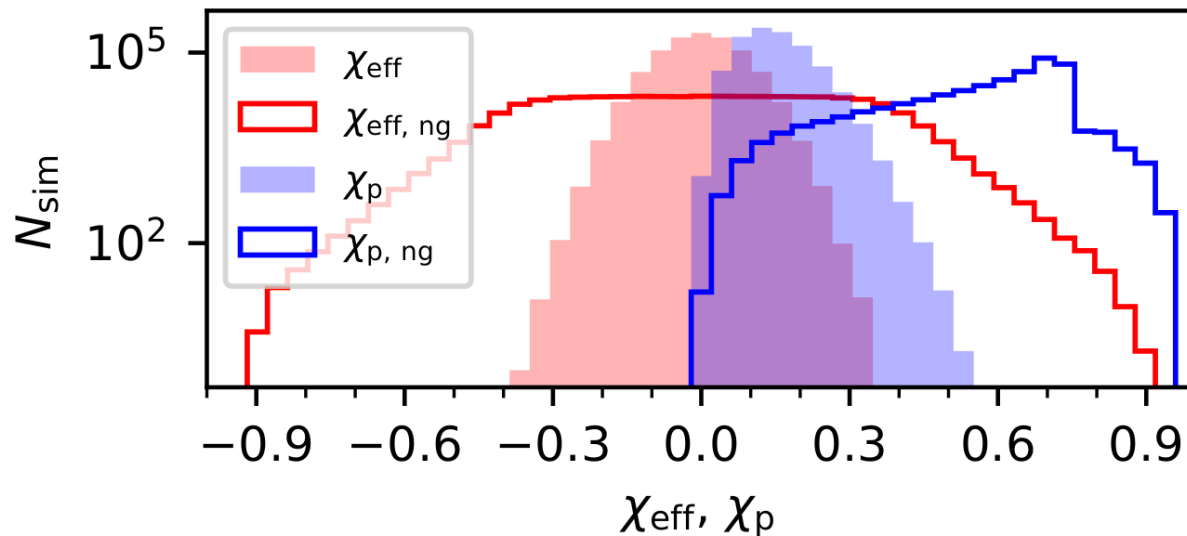
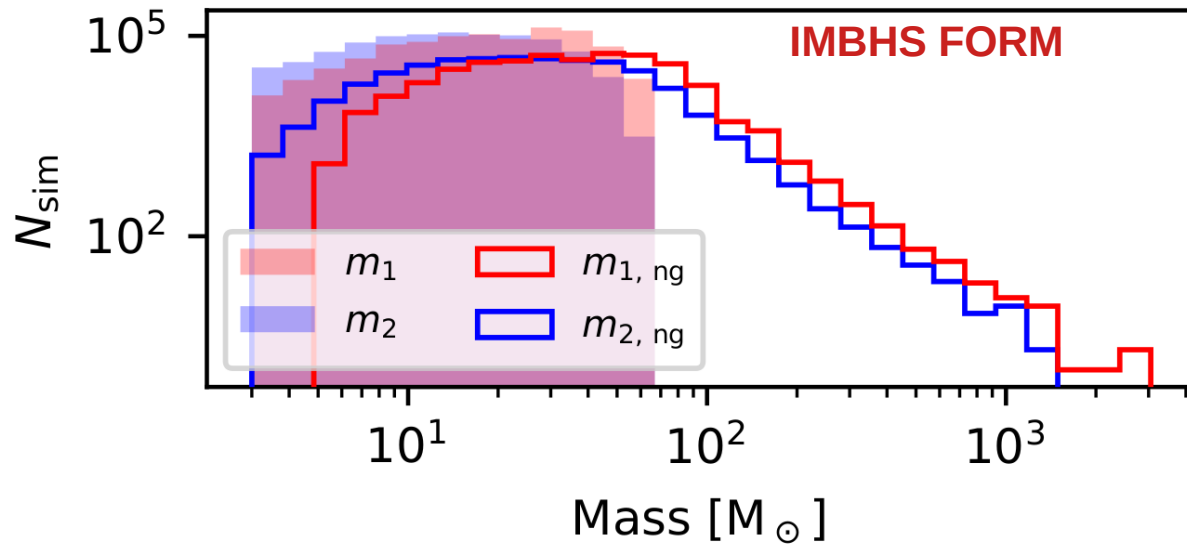
→ **GW detectors can be used to study IMBHs**

- * Theoretical channels still uncertain:
 - collapse of massive metal-poor star (mass $>100 M_{\odot}$, spin unknown)
 - **hierarchical merger ($100 - 10^4 M_{\odot}$, spin $\sim 0.7 - 0.9$)**
 - hierachical merger in AGN disk ($>100 M_{\odot}$, spin $\sim 0.7 - 0.9$)
 - star – star collision ($100 - 500 M_{\odot}$, spin unkwnon)

- * **The future is loud:**
Einstein Telescope, Cosmic Explorer and LISA will observe IMBHs possibly with multi-band detections

THANK YOU

2. Formation channels of IMBHs: hierarchical mergers

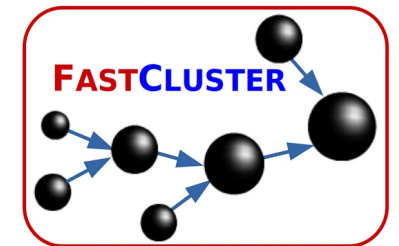


Filled histograms: first generation
BBH mergers

Unfilled histograms: 2nd or Nth
generation BBH mergers

χ_{eff} → measuring spin components
**aligned with orbital
angular momentum**

χ_p → measuring spin components
in the orbital plane



dynamics population code

FASTCLUSTER:

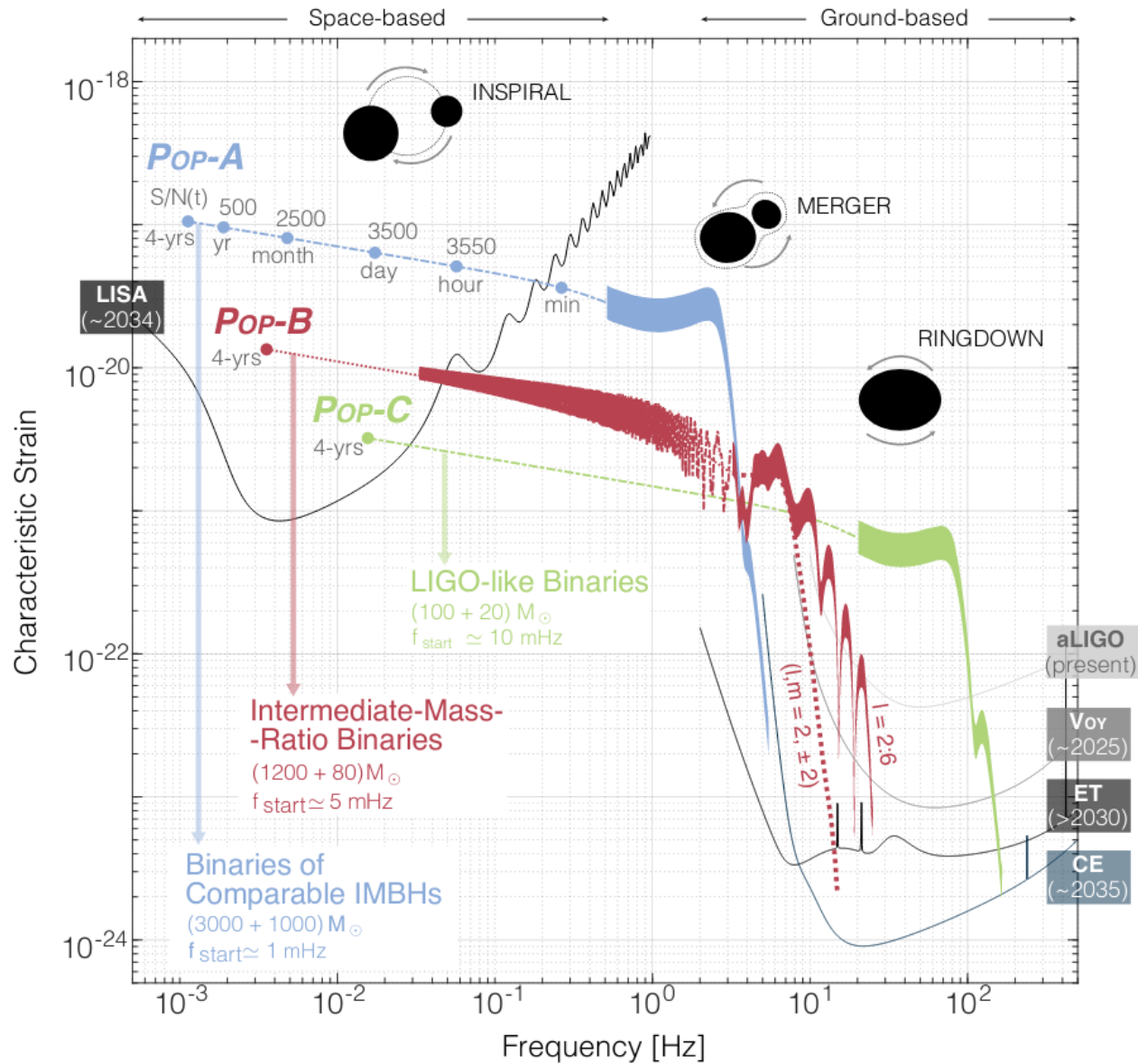
open-source version
available at this link

MM et al. 2021, MNRAS, 505, 339

MM et al. 2022, MNRAS, 511, 5797

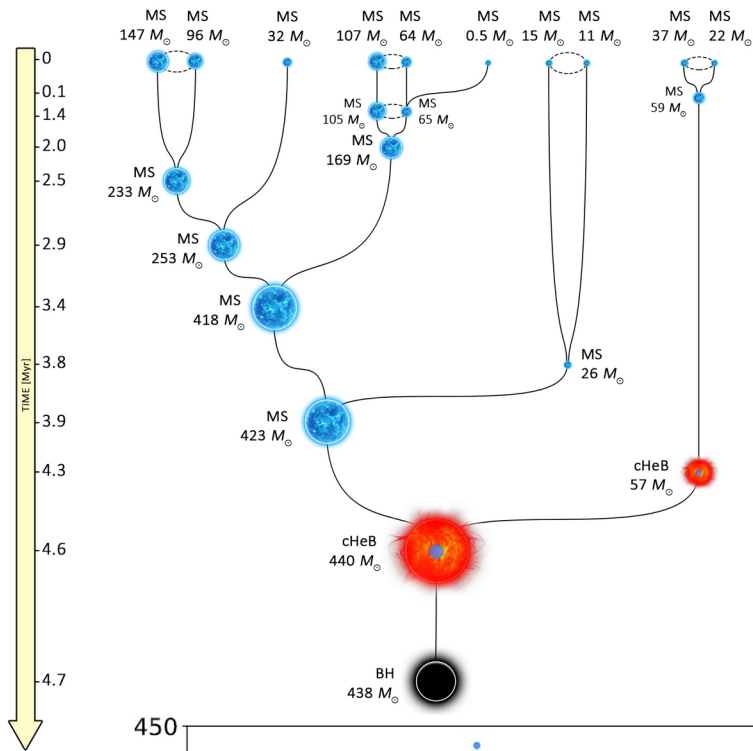
29

4. Evolution across cosmic time: which IMBH binaries?



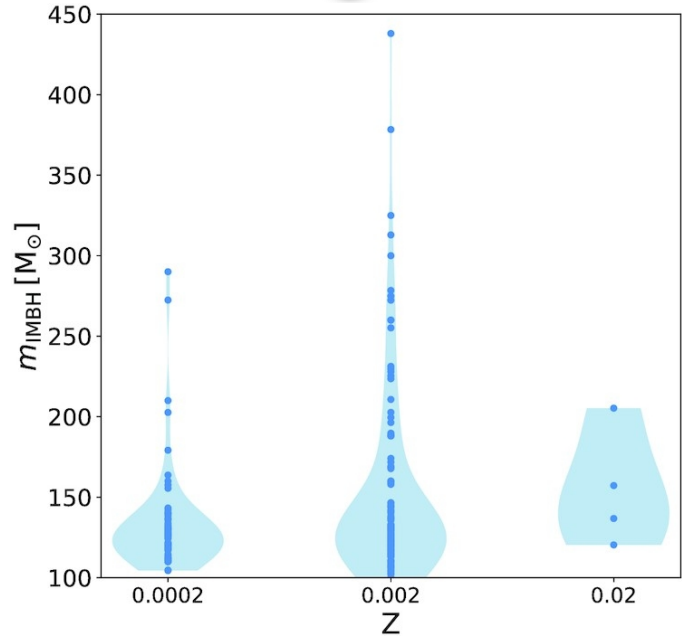
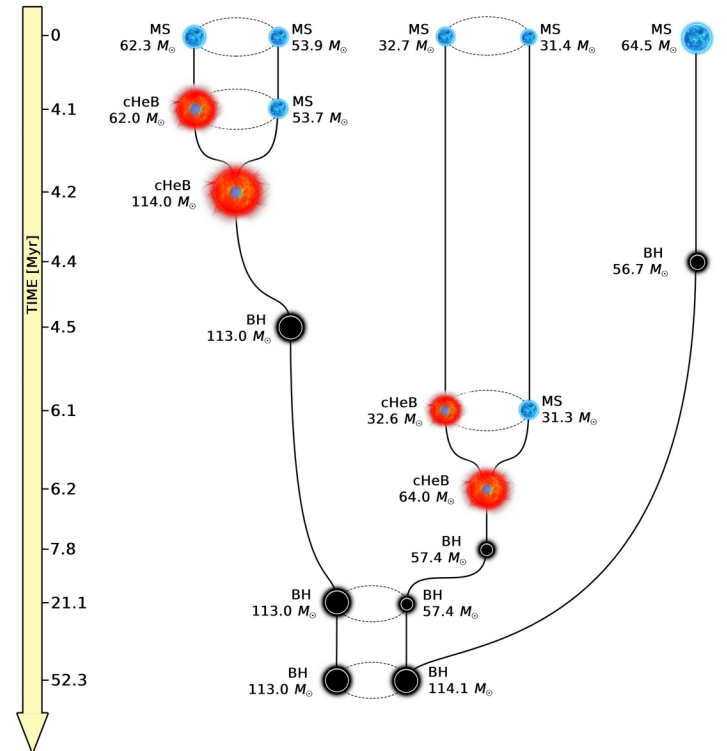
Jani, Shoemaker & Cutler 2020, NatAs, 4, 260

3. Formation channels of IMBHs: star – star collisions



The most massive IMBH
($\sim 440 M_{\odot}$)

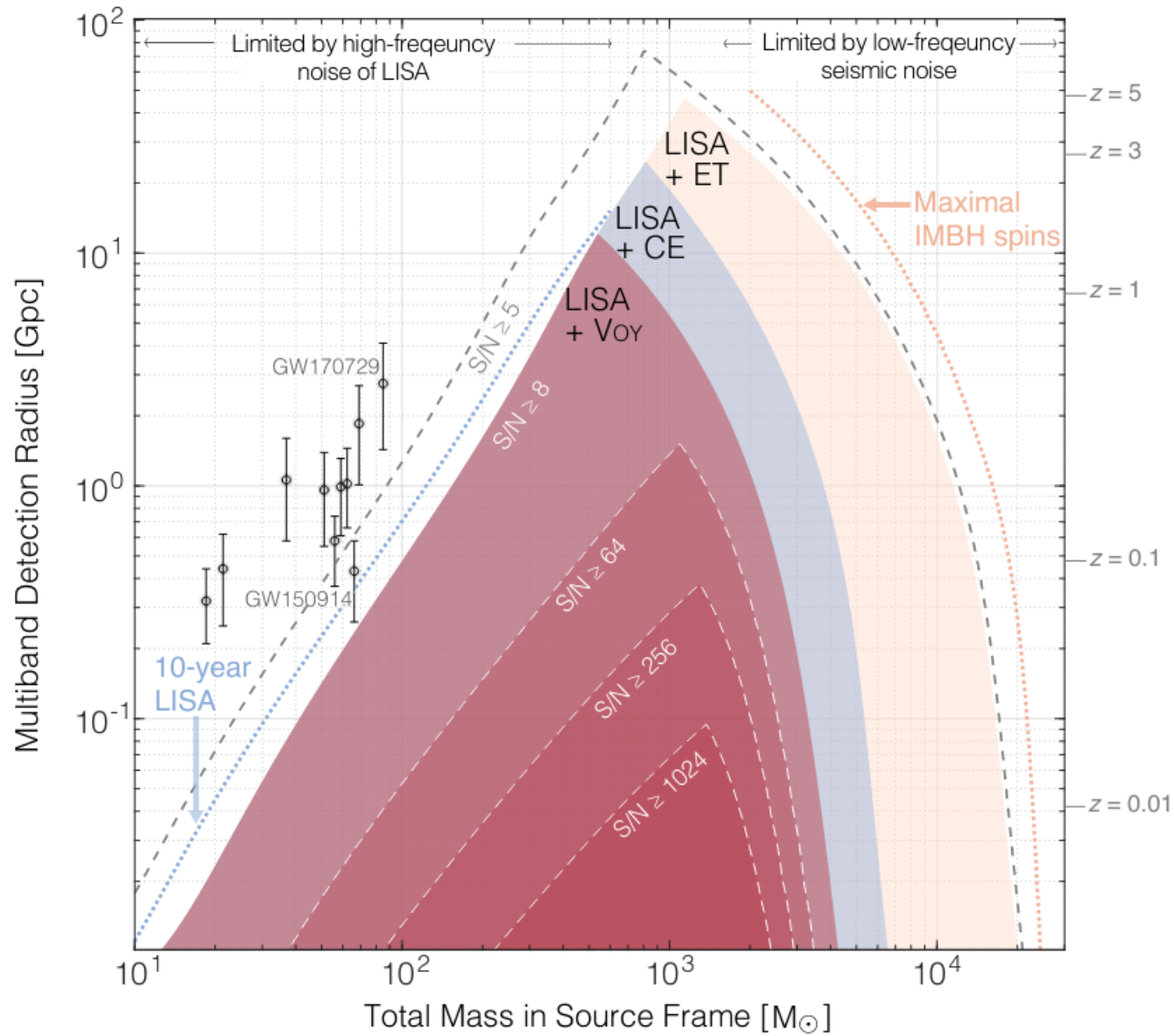
A binary IMBH
($\sim 110 + 110 M_{\odot}$)



0.15% of clusters host an IMBH at $Z = 0.02$
VS
2 – 4 % at $Z = 0.0002 - 0.002$

Di Carlo, MM et al. 2021, MNRAS, 507, 5132

4. Evolution across cosmic time: will we do multi-band GW astronomy?



Jani, Shoemaker & Cutler 2020, NatAs, 4, 260